

CITY AND COUNTY OF SWANSEA

NOTICE OF MEETING

You are invited to attend a Meeting of the

CABINET

At: Council Chamber, Guildhall, Swansea

On: Thursday, 15 September 2016

Time: 4.00 pm

Chair: Councillor Rob Stewart

Membership:

Councillors: M C Child, W Evans, R Francis-Davies, J E C Harris, D H Hopkins, A S Lewis, C E Lloyd, J A Raynor and C Richards

The use of Welsh is welcomed. If you wish to use Welsh please inform us by noon on the working day before the meeting.

AGENDA

	Page No.
1. Apologies for Absence.	
2. Disclosures of Personal and Prejudicial Interests. www.swansea.gov.uk/disclosuresofinterests	
3. Minutes. To approve & sign the Minutes of the previous meeting(s) as a correct record.	1 - 7
4. Leader of the Council's Report(s).	
5. Public Question Time.	
6. Councillors' Question Time.	
7. Requirement for Additional Places for Primary and Secondary Aged Pupils with Autistic Spectrum Disorder (ASD).	8 - 23
8. Local Authority Governor Appointments.	24 - 27
9. Cynnydd Project - Notification of Contract Award.	28 - 33
10. Quarter 1 2016/17 Performance Monitoring Report.	34 - 65

Next Meeting: Thursday, 20 October 2016 at 4.00 pm.



Huw Evans

Head of Democratic Services

Tuesday, 6 September 2016

Contact: Democratic Services - Tel: (01792) 636923

CITY AND COUNTY OF SWANSEA

MINUTES OF THE CABINET

HELD AT COUNCIL CHAMBER, GUILDHALL, SWANSEA ON
THURSDAY, 18 AUGUST 2016 AT 4.00 PM

PRESENT: Councillor R C Stewart (Leader of the Council) Presided

Councillor(s)

M C Child

J E C Harris

Councillor(s)

W Evans

A S Lewis

Councillor(s)

R Francis-Davies

C E Lloyd

Apologies for Absence

Councillor(s): D H Hopkins, J A Raynor and C Richards

42. **DISCLOSURES OF PERSONAL AND PREJUDICIAL INTERESTS.**

In accordance with the Code of Conduct adopted by the City and County of Swansea, the following interests were declared:

Councillor C E Lloyd – Minute No. 50 – Local Authority Governor Appointments – Personal and Prejudicial – I am named in the report. Councillor C E Lloyd left the meeting prior to consideration of this item.

Councillors M C Child, W E Evans, A S Lewis, R C Stewart – Minute No. 50 – Local Authority Governor Appointments – Personal – applicants are known to me.

43. **MINUTES.**

RESOLVED that the Minutes of the meeting(s) listed below be approved and signed as a correct record:

1) Cabinet held on 21 July, 2016.

44. **LEADER OF THE COUNCIL'S REPORT(S).**

The Leader of the Council had no reports.

45. **PUBLIC QUESTIONS.**

There were no public questions.

46. **COUNCILLORS' QUESTION TIME.**

Councillor C A Holley asked a question relating to exempt item 14 – Development of City Centre Sites, St David's Area North & South of Oystermouth Road.

The Leader of the Council and Director of Resources responded following the exclusion of the public.

47. **PRE-DECISION SCRUTINY - FEEDBACK ON THE COMMISSIONING REVIEW: CORPORATE BUILDING AND PROPERTY SERVICES.**

Councillor C A Holley, Convenor of the Service Improvement and Finance Scrutiny Performance Panel presented the feedback from the pre decision scrutiny.

48. **CORPORATE BUILDING AND PROPERTY SERVICES COMMISSIONING REVIEW.**

The Cabinet Member for Transformation and Performance and Cabinet Member for Next Generation Services presented a report which outlined the background to the Corporate Building and Property Services Commissioning Review and set out the findings and recommendations from the Review.

RESOLVED that the key recommendations of the review be accepted and authority be given to proceed with the implementation, in line with the recommendations contained within the stage 4 report (Appendix A).

49. **REVENUE AND CAPITAL BUDGET MONITORING 1ST QUARTER 2016/17.**

The Director of Resources presented a report which detailed the financial monitoring of the 2016/17 revenue and capital budgets, including delivery of budget savings.

RESOLVED that the comments and variations in the report and the actions in hand to address these, be noted.

50. **LOCAL AUTHORITY GOVERNOR APPOINTMENTS.**

The Leader of the Council presented a report which sought approval of the nominations submitted to fill Local Authority (LA) Governor vacancies on School Governing Bodies.

RESOLVED that:

- 1) The following nominations be approved as recommended by the LA Governor Appointments Panel:

1. Birchgrove Primary School	Mrs Reanne Lee
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2. Bishopston Primary School	Cllr. Keith Marsh
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3. Casllwchwr Primary School (2 vacancies)	Mr Jeff Bowen
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Minutes of the Cabinet (18.08.2016)
Cont'd

	Cllr. Christine Richards
4. Cila Primary School (2 vacancies)	Mrs Angela Nash
	Cllr Paxton Hood-Williams
5. Clwyd Primary School	Mrs Christine Steward
6. Crwys Primary School	Cllr Paxton Hood-Williams
7. Cwmglas Primary School (2 vacancies)	Cllr. Paul Lloyd
	Mr John Hague
8. Danygraig Primary School	Cllr. Clive Lloyd
9. Hafod Primary School	Cllr. Beverley Hopkins
	Mrs Hayley Purcell
10. Llangyfelach Primary School	Cllr. Gareth Sullivan
11. Mayals Primary School	Cllr. Linda Tyler Lloyd
12. Oystermouth Primary School	Cllr. Anthony Colburn
13. Penyfro Primary School	Cllr. Jennifer Raynor
14. Penllergaer Primary School	Cllr Wendy Fitzgerald

Minutes of the Cabinet (18.08.2016)
Cont'd

15. Penard Primary School	Cllr. Lynda James
16. Pentrechwyth Primary School	Alderman Mair Gibbs
17. Pentre`r Graig Primary School	Mr David Titerickx
18. Penyrheol Primary School	Mrs Sylvia Harries
19. Plasmarl Primary School	Cllr. Beverley Hopkins
20. Pontlliw Primary School	Cllr. Gareth Sullivan
21. St Helen`s Primary School	Mr Perry Morgan
22. St Joseph`s Catholic Primary School	Cllr. Paulette Smith
23. Tre Uchaf Primary School	Mrs Rebecca Smith
24. Waunarlwydd Primary School	Mrs Ann Evans
25. Whitestone Primary School	Cllr. Desmond Thomas
26. Ynystawe Primary School (2 vacancies)	Mr Nigel Thomas
	Mr Michael Hedges

Minutes of the Cabinet (18.08.2016)
Cont'd

27. YGG Bryn-y-Mor	Cllr. Peter May
28. YGG Bryniago	Mr John Miles
29. YGG Felindre	Mrs Anne Gimblett
30. YGG Llwynderw	Cllr. Desmond Thomas
31. YGG Lon Las	Mrs Trish Evans
32. YGG Pontybrenin	Cllr. Robert Smith
33. YGG Tirdeunaw	Mr John James
34. Cefn Hengoed Community School	Cllr. Paul Lloyd
	Cllr Mandy Evans
35. Olchfa School	Cllr. Jennifer Raynor
36. Penyrheol Comprehensive School (2 vacancies)	Cllr Christine Richards
	Mr Jeff Bowen
37. Pentrehafod Comprehensive School (3 vacancies)	Cllr. T. Mike White
	Cllr. Graham Thomas
	Cllr. Peter Black

38. Pontarddulais School	Comprehensive	Cllr. Wendy Fitzgerald
39. Ysgol Gyfun Gwyr		Cllr. Robert Smith

51. **CHILDCARE SUFFICIENCY ASSESSMENT REFRESH 2016.**

The **Leader of the Council** presented an update on the findings of the 2016 recent Childcare Sufficiency Assessment Refresh and action plan.

RESOLVED that the content of the report be noted.

52. **EXCLUSION OF THE PUBLIC.**

Cabinet were requested to exclude the public from the meeting during consideration of the item(s) of business identified in the recommendations to the report(s) on the grounds that it / they involve the likely disclosure of exempt information as set out in the exclusion paragraph of Schedule 12A of the Local Government Act 1972, as amended by the Local Government (Access to Information) (Variation) (Wales) Order 2007 relevant to the items of business set out in the report(s).

Cabinet considered the Public Interest Test in deciding whether to exclude the public from the meeting for the item of business where the Public Interest Test was relevant as set out in the report.

RESOLVED that the public be excluded for the following item(s) of business.

(CLOSED SESSION)

53. **CORPORATE BUILDING AND PROPERTY SERVICES COMMISSIONING REVIEW.**

The Cabinet Member for Transformation and Performance presented a report which detailed Appendices C, E and F to Appendix A – Stage 4 Commissioning Review Option Appraisal Form.

RESOLVED that the report be noted.

54. **DEVELOPMENT OF CITY CENTRE SITES, ST DAVID'S AREA NORTH & SOUTH OF OYSTERMOUTH ROAD**

The Cabinet Member for Enterprise, Development and Regeneration presented a report which sought Council agreement to extend the Council's funding commitment to work up proposals and deliver a planning consent until discussions with the Welsh Government are finalised.

RESOLVED that the recommendations, as set out in the report, be approved.

The meeting ended at 4.43 pm

CHAIR

Published on 22 August 2016.

Agenda Item 7.

Report of the Cabinet Member for Education

Cabinet – 15 September 2016

REQUIREMENT FOR ADDITIONAL PLACES FOR PRIMARY AND SECONDARY- AGED PUPILS WITH AUTISTIC SPECTRUM DISORDER (ASD)

Purpose:	To consider options to address the additional autistic spectrum disorder (ASD) specialist places required for pupils in Swansea.
Policy Framework:	Corporate priority. Improving pupil attainment.
Reason for Decision:	To meet need and reduce pressure on existing specialist places.
Consultation:	Finance and Legal.
Recommendation(s):	It is recommended that: 1) the number of primary and secondary school ASD STF places within City and County of Swansea is increased (to include two additional primary school ASD STFs (an additional 32 places for primary school-aged pupils) and one additional secondary school STF (providing an additional 16 places for secondary school-aged pupils)). This recommendation relates to Option 2 (paragraph 4) and Option A (paragraph 4) for revenue funding. 2) officers proceed to consultation and return to Cabinet as outlined in Table 3
Report Author:	Lindsay Harvey
Finance Officer:	Pini Patel and Jayne James
Legal Officer:	Stephen Holland
Access to Services Officer:	Phil Crouch

1.0 Introduction

- 1.1 Diagnosis of Autistic Spectrum Disorder (ASD) is on the increase nationally. Currently, approximately 1 in 60 children and young people are being diagnosed with ASD in the UK. This figure has been checked and confirmed by local health colleagues.

- 1.2 There are currently 413 primary and secondary-phase pupils with statements of special educational needs (SEN) and a diagnosis of ASD in Swansea.
- 1.3 81 pupils are currently in designated ASD specialist teaching facilities (STFs) hosted by mainstream schools.
- 1.4 City and County of Swansea's inclusive education principles over the last 20 years have driven the creation of specialist teaching facilities in mainstream schools wherever possible. This is in line with the principles laid out in the 1996 Education Act and the SEN Code of Practice 2002.
- 1.5 In addition, of the 179 planned places in moderate learning difficulties/severe learning difficulties (MLD/SLD) primary school-based STFs across Swansea, there are 41 pupils whose primary diagnosis is ASD.
- 1.6 At secondary phase MLD-SLD provision (of 128 places), there are 16 pupils with ASD.
- 1.7 There are currently 46 primary school-aged pupils on a pending list for moderate ASD places and, of these, 39 are being taught in mainstream classes with additional teaching assistant (TA) support. There are four pupils on pending list for same type of secondary school-age provision.
- 1.8 There are (potentially) 66 pupils in this period seeking placement who are currently in primary school ASD STFs, MLD/SLD STFs or mainstream classes.
- 1.9 We are currently only able to offer four places each September to meet this need.
- 1.10 Table 1 (below) provides further information in respect of the growing demand for ASD STF provision at secondary level over the next five academic years.

Table 1 Demand for year 7 ASD STF provision for the next five years up to and including the 2017-2018 academic year

	ASD STF	MLD STF	Pending list	Total
September 2017	3	3	4	10
September 2018	8	6	0	14
September 2019	6	2	4	12
September 2020	3	8	3	14
September 2021	5	6	5	16

2.0 Background

- 2.1 In response to the increased need identified above there is a requirement to address the additional ASD specialist places required for pupils from Swansea.
- 2.2 An options paper has been produced (see Appendix A) to review the most appropriate way of addressing this increase need. The following main options are available.

- Option 1** Do nothing
- Option 2** Establish new ASD STF provision/s to support increased demand
- Option 3** Increase planned pupil places in existing STF provisions
- Option 4** Change designation of current STF provision
- Option 5** Schools work collegiately to set up and host specialist provisions within a cluster
- Option 6** Accommodate identified pupils in special schools
- Option 7** Increase out-of-county placements

3.0 Risk

- 3.1 If provision is not increased:
- learners with ASD whose needs have been assessed as requiring an ASD STF placement will not receive the appropriate provision in order to meet their complex needs. This will have a significant impact on those pupils wellbeing and reaching their potential in life;
 - there would be a negative impact on schools and learners of having learners with ASD whose needs require STF provision being placed in mainstream classrooms, as the social and communication difficulties that are a feature of ASD often manifest themselves in extremely disruptive behaviour. This is likely to impact negatively on the City and County of Swansea's exclusion figures and attendance data. Also this could increase pressure on EOTAS provision, which would not be an appropriate alternative for autistic children.

- Swansea would be in breach of its legal obligations and at significantly increased risk of SEN Tribunal challenge and, ultimately, judicial review and ombudsman complaints and investigations;
- there have been two recent tribunals where Swansea has been directed to place children over and above the planned places in ASD STF provision. Should this continue, there would be a significantly negative impact on the provisions' effectiveness and therefore the pupils' wellbeing; and
- there is a risk that more parents would be seeking out-of-county placements. This is an expensive alternative at between £40,000 and £73,000 per child per annum (plus transport). Providing additional ASD provision in Swansea could help to mitigate further costs for out of county placements, investing to prevent additional spend.

4.0 Recommendation

- 4.1 Officers recommend that Option 2 is considered as the preferred option. That is, to increase the number of primary and secondary school ASD STF places within City and County of Swansea.
- 4.2 Establishing two additional primary school ASD STF would create an additional 32 places for primary school-aged pupils and one additional secondary school ASD STF would create an additional 16 places for secondary school-aged pupils.
- 4.3 Officers advice is that this option optimises value for money, meets the needs of learners within the local authority, would minimise the risk of SEN tribunals and reduce out-of-county places. This option plans for current predicted demand.
- 4.4 There will be a need within the next few years to further increase provision. In particular, there is an increase in pupils with high-functioning ASD (pupils who are highly intelligent), however this requires additional investigation and resources. A further update to the SEN Development Plan is anticipated in the autumn term.
- 4.5 Officers seek approval to begin the process of establishing additional ASD STF provision (as identified in Option 2 above).

5.0 Location

- 5.1 In order to reduce current and future transport costs, it is desirable that at least one of the additional ASD STFs be established in the West of the local authority. The two existing ASD STFs are in the North East of Swansea and pupils from the west of City and County of

Swansea are being transported to these facilities. The establishment of at least one of the ASD STFs in the West should reduce travel time and costs for these pupils.

- 5.2 A review of capacity has been undertaken to identify potential space that could be utilised with modest investments and remodelling to establish the required places. A number of primary schools and a secondary school have been identified as having potential space.
- 5.3 Due to the summer holiday period, it has not been possible to confirm the locations for the ASD STF schools within this paper. Discussions with the schools including Governing Body will be held and a verbal update will be provided at Cabinet.
- 5.4 Following consultation Cabinet will be asked to agree the final recommendation.
- 5.5 A review of the potential impact and options of the local development plan will also need to be completed when the potential schools have been confirmed.
- 5.6 Links and opportunities will be made with the Welsh Government's capital investment programme (Band B) proposals and the Local Development Plan (LDP) opportunities and can be built into business cases where opportunities and need exists.
- 5.6 The timeframe on these opportunities is likely to be a medium to long-term strategy rather than addressing the immediate need as stated in 4.3. However, the need for these additional ASD STFs is the immediate priority and as timescales are pressured the urgency of approval for these additional ASD STFs is imperative.

6.0 Financial Implications

Capital

- 6.1 The cost of establishing two primary and one secondary school ASD STFs will be determined on modest remodelling when the potential schools are confirmed.
- 6.2 We are seeking corporate funding to support the capital works that will be required to support the remodelling to facilitate the additional ASD STF provisions. Based on similar schemes, this could be in the region of £200,000 to £250,000. The cost of additional build would of course be significantly greater. Approval of this scheme will increase the substantial funding deficit on the capital programme.

Revenue

- 6.3 Annual revenue costs of 48 F Band places (using the Section 52 document) would be approximately £593,250 per annum once fully established.
- 6.4 The revenue funding is delegated to the host schools. Host schools then manage the staff and pupils accordingly.
- 6.5 Table 2 (below) explains estimated revenue implications of establishing three additional ASD STF facilities. Option A is the preferred option.
- 6.6 The investment to save argument is very difficult to provide data for, it is more about children we already know about having their needs met appropriately rather than money. However, providing additional ASD STF places could reduce expensive out-of-county placements at a cost of between £40,000 and £73,000 per annum, per child (plus transport). Therefore, this is an invest to mitigate and contain further additional spend, in relation to out-of-county placements.
- 6.7 There is therefore, a possibility that there could be a reduction in recoupment spend because if there is sufficient provision within the local authority, parents will be less likely to look to other local authorities or private providers.
- 6.8 It is possible that this increase in ASD provision could provide opportunities for income generation in the future though at this point we are requesting the facilities to meet the current demand for existing pupils in Swansea.
- 6.9 Approval of this scheme would require additional revenue budget funding.

Table 2 Revenue implications of establishing three additional ASD STF facilities

Option	Revenue cost	Places established	Considerations
<p>Option A</p> <p>Three additional STFs established September 2017</p>	<p>Year 1: £344,313</p> <p>Year 2: £593,250</p>	<p>48 F band places (x7/12th)</p> <p>48 F band places full-year equivalent (FYE) places</p>	<p>Two primary school STF provisions (2 x 16 places)</p> <p>One secondary school STF (1 x 16 places)</p> <p>Note individual transport costs would be in addition to the figures provided here</p>

<p>Option B</p> <p>Phased implementation. Establish two Foundation Phase (primary school) STFs and one key stage 3 (secondary school) STF.</p> <p>Increase to full capacity by 2019 (ie to include key stage 2 and key stage 4 provision)</p>	<p>Year 1: £173,031 Year 2: £296,625 Year 3: £469,656 Year 4: £593,250</p>	<p>24 F band places (x7/12th) 24 F band places (FYE) 24 F band places (FYE) + 24 (x7/12th) 48 places (FYE)</p>	<p>This phased approach carries the risk that the local authority would not be able to meet the level of demand required.</p> <p>Note individual transport costs would be in addition to the figures provided here</p>
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7.0 Timeframe

7.1 Establishing additional ASD STF provision will require statutory notice for implementation in September 2017. The following timetable (Table 3) summarises a timeframe that will need to be met (for expanded timeframe please see Appendix B).

7.2 Failure to meet these timelines would result in a delay of establishing additional provision and therefore appropriate places.

Table 3 Timetable to establish additional ASD STF provision

Activity	Timescale
Exploratory work and discussions with officers and elected members	Ongoing – June and July 2016
Cabinet	15 September 2016
Start consultation	28 September 2016
End consultation	9 November 2016
Publish consultation report	9 November to 16 December 2016
Cabinet	19 January 2017
Publish statutory notice	30 January 2017

Council	20 April 2017
Appointment of staff	31 May 17
Implementation	1 September 2017

8.0 Next steps

- 8.1 Establish communication plan in line with approval and timeframe as above.
- 8.2 Complete Equality Impact Assessments (EIA).
- 8.3 Complete activities as identified in timeline above.

9.0 Legal Implications

- 9.1 The City and County of Swansea adopted in July 2012 an Inclusion Policy and an Inclusion Strategy. These documents provide key principles and strategic priorities which as current policy should be considered throughout the process of identifying and establishing increased education provision of any type.
- 9.2 The Welsh Government 'School Organisation Code' issued in July 2013 provides a framework of considerations a responsible body should adhere to in seeking to alter education provision within a local authority area. The code provides that:

'1.4 Local authorities must ensure there are sufficient schools providing primary and secondary education for their area. Schools are regarded as sufficient if they are sufficient in number, character and equipment to provide for all pupils the opportunity of appropriate education. In order to fulfil these duties, local authorities must ensure that they plan thoroughly and engage fully with relevant partners, including the appropriate religious bodies for schools serving their area which have a designated religious character.'

[page 8]

'Where a new school, increase in capacity or age range expansion is proposed;

that there is evidence of current or future need/demand in the area for additional places, with reference to the school or proposed school's language category, designated religious character, and the gender intake (i.e. co-educational/single sex);

The demand for additional provision of any type in an area should be assessed or evidenced (in the case of Welsh-medium education would include an assessment of the demand for Welsh-medium education conducted in accordance with any regulations made under section 86 of the 2013 Act).

Whether proposals will improve access for disabled pupils in accordance with requirements under the Equality Act 2010'

[page 9]

Additional considerations are applicable when SEN provision is being considered.

'Standards of provision

In addition to the usual considerations in relation to standards of provision, relevant bodies should consider;

- Whether proposals will improve standards of accommodation for pupils with SEN, including building accessibility;
- How proposals will address any health, safety and welfare issues;
- How proposals, where appropriate, will support increased inclusion; and
- The impact of proposals on other SEN provision within the immediate and wider local authority area including out of county where appropriate.

Need for places and the impact on accessibility of schools

In addition to the considerations listed in 1.4, relevant bodies should consider:

- Whether there is a need for a particular type of SEN provision within the area;
- Whether there is a surplus SEN provision within the area;
- Whether SEN provision would be more effective or efficient if regional provision were made; and
- The impact of proposals on the transportation of learners with SEN.

Other factors

Relevant bodies should consider:

- How changes to SEN provision in schools are likely to impact on all other services provided in an area for pupils with disabilities and / or SEN.

9.3 The Welsh Government Guidance document issued March 2016 and entitled 'Inclusion and Pupil Support' provides that:

'2.2.6 Local authority strategies should be based on an analysis of current needs and forward projections of the needs profile over the next 5-10 years. They should set out a timetable for developing the capacity of mainstream schools and early years

settings to meet the needs of children and young people. Local authorities will need to work across the authorities as strategies must include information from health and social services. This will help gain an accurate picture of the population of children and young people with high support needs and show how they can be educated in local mainstream schools, as far as possible. Health and social services departments will therefore have to be involved in the planning process and in demonstrating how the needs of these pupils can be met holistically across the statutory agencies.

- 2.2.7 Inclusion strategies should also clearly set out the future role of special schools and provision for education otherwise than at school (EOTAS) within the overall spectrum of education provision. There needs to be a programme of active and ongoing consultation with schools and parents / carers which involves children and young people at all stages of policy development, implementation and monitoring. Local authorities will also need to give details of a phased programme of capital investment to support inclusion, including targeted professional development opportunities for staff; and plans to develop the role of the central advisory and support services’.

10.0 Equality and engagement implications

- 10.1 An EIA screening form has been completed with the agreed outcome that a full EIA report was required. The full EIA report will be drafted once the locations of the potential settings are decided upon.
- 10.2 Service provision for Welsh speakers is recognised as a specific (potential) requirement and any solution must address this aspect. As detailed in the EIA screening, further work on this is needed to inform any final decision.

Background papers: None

Appendices:

Appendix A Options to address increase need for pupils diagnosed with Autistic Spectrum Disorder (ASD)

Appendix B Existing specialist teaching facilities within City and County of Swansea

Appendix A – Options to address increase need for pupils diagnosed with Autistic Spectrum Disorder (ASD).

	Options	Advantages	Disadvantages	Considerations	Actions	Next steps
1	Status quo – do nothing	<ul style="list-style-type: none"> No capital cost 	<ul style="list-style-type: none"> Children’s needs not being met. Risk of increased number of tribunals. Increased cost to the LA. 	Swansea has a statutory requirement under the 1996 Education Act to identify, assess and make appropriate provision for all learners with sever and complex special educational needs.	NA	Carry forward as benchmark
2	Establish 2 x 2 class primary and 1 x 2 class secondary STF	<ul style="list-style-type: none"> Meets needs of learners within the LA thus avoiding tribunals and expensive out of county placements. Planning for predicted growth in need. If appropriately situated potential reduction in journey time for children. Linked to review of special school provision potential for retaining pupils within county and hence overall cost saving to LA. 	<ul style="list-style-type: none"> No capital provision secured. Capacity and revenue will be need to be determined once schools identified. 	<ul style="list-style-type: none"> Rising demographic taking up surplus places in schools. Addresses immediate need but will require continuous review of special school provision. Link with Band B and LDP opportunities. 	<p>Analysis of pupil costs now compared to increase provision</p> <ul style="list-style-type: none"> Planned places revenue cost and individual pupils cost in mainstream are identical. <p>Review assessment criteria and assess the phasing of facilities</p> <ul style="list-style-type: none"> Primary classes accommodation needed asap, but could be phased e.g. infants 2017, juniors 2019. Secondary similar, KS3 2017, KS4 2019. Assessment 	

					<p>criteria are set by Health, but level of function criteria for STF are very clear to SEN panel.</p> <p>Capacity</p> <ul style="list-style-type: none"> Analysis of schools with potential capacity to be identified 	
3	Increasing number of planned places in existing ASD provisions	<ul style="list-style-type: none"> More pupils in each STF could reduce the pending list, 	<ul style="list-style-type: none"> It has already been taken as far as is practicable, and all the ASD STFs are already well above their original planned places of 16 each (see table) Autistic pupils have sensory issues which mean that high numbers around them and high background noise levels can have a very negative effect on their behaviour. 			
4	Change designation of current STF provision thus displacing children with moderate learning difficulties into mainstream classes.	<ul style="list-style-type: none"> Minimal capital costs. Children with ASD are provided for. Additional ASD STF places could mean that existing MLD/SLD places are opened up and therefore reduce pending list as pupils are 	<ul style="list-style-type: none"> Could require staff training or possible redundancies. Needs of children with moderate to severe learning difficulties are not met as they will be displaced into 			

		designated the appropriate provision for their need.	<p>mainstream, where there is also a pending list of learners awaiting MLD/SLD STF places</p> <ul style="list-style-type: none"> ▪ Increased risk of tribunals from MSLD parents and again additional demands on schools and mainstream resources. ▪ Increase in referrals for MLD/SLD places (higher than ASD referrals) 			
5	Ask schools to collaborate 'collegiately' to set up and host specialist ASD classes within a geographic cluster (not necessarily a Comprehensive School cluster)	▪	<ul style="list-style-type: none"> ▪ A high risk strategy which could portray the LA as absolving itself of its' statutory duty to identify, assess, and make provision for all learners with additional learning needs. ▪ Governance and admissions arrangements would be problematic in terms of the LA determining needs, provision and placement via SEN Panel. Host school or cluster may see that as their right and thus this initiative 			

			<p>would not serve the needs of the LA.</p> <ul style="list-style-type: none"> ▪ STF provision in Swansea is formally constituted, including full public consultation and registration with Welsh Government. ▪ Delegated funding through the Delegated Powers Planned Places Paper secures continuity. ▪ Transport commissioning and funding are currently managed by the LA. ▪ If it is voluntary the school may change their minds and seek to cease the provision as they are not registered. ▪ There would inevitably be Tribunals if learners, provision were to be disrupted or entry refused. 			
6	Accommodate children within a special school.	<ul style="list-style-type: none"> ▪ Children's needs are met. 	<ul style="list-style-type: none"> ▪ Would require an additional special school at significant capital cost. ▪ It is inconsistent with the LA policy on inclusion. 	Link with Band B and LDP opportunities.		

7	Increase out of county placements	<ul style="list-style-type: none"> ▪ Meets the needs of the children 	<ul style="list-style-type: none"> ▪ Significant increase in revenue costs and more children educated away from their home, families and communities. ▪ Difficult to place in neighbouring authorities due to similar capacity issues. ▪ Increase risk of tribunals. 		<ul style="list-style-type: none"> ▪ Review provision in neighbouring authorities. 	
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Appendix B - Existing Specialist Teaching Facilities within City and County of Swansea

Name and type of provision	BAND/ PLACES	AGE OF PUPILS
Moderate to Severe Learning Difficulties		
Cadle Primary	9 E	7 – 11
Clase Primary	18 E	3 – 11
Clwyd Primary	18 E	3 – 11
Crwys Primary	8 E	3 – 7
Cwmglas Primary	8 E	7 – 11
Danygraig Primary	18 E	3 – 11
Morrleston Primary	19 E	3 – 11
Morrleston Primary (Observation Class)	8 F	3 – 7
Parkland Primary	8 E	7 – 11
Tre Uchaf Primary	19 E	3 – 11
Townhill Primary	18 E	3 – 11
Whitestone Primary	18 E	3 – 11
Trallwn Primary	18 E	3 – 11
Birchgrove Comprehensive	20 E	11 – 16
Bishop Gore Comprehensive	20 E	11 – 16
Cefn Hengoed Comprehensive	20 E	11 – 16
Dylan Thomas Community	21 E	11 – 16
Morrleston Comprehensive	27 E	11 – 16
Penyrheol Comprehensive	10 E	11 – 16
Ysgol Gyfun Gwyr	10 E	11 – 16
Autistic Spectrum Disorder(s)		
Clase Primary (Severe)	12 G	3 – 11
Clwyd Primary (Moderate)	18 F	3 – 11
Gwyrasydd Primary (Moderate)	18 F	3 – 11
Dylan Thomas Comprehensive (Moderate)	20 F	11 – 16
Gowerton Comprehensive (High Functioning Autism and Aspergers)	23 F	11 – 16
Hearing Impairment		
Grange Primary	8 F	3 – 11
Olchfa Comprehensive	8 F	11 – 18
Speech and Language Impairment		
Bishopston Primary	18	3 – 11
Burlais Primary	27	3 – 11
Pentrefafod Comprehensive	35E/F	11 – 16
YGG Bryntawe (Welsh Medium)		11 – 16
Bishopston Comprehensive	19 E/F	11 – 16
Profound and Multiple Learning Difficulties		
Penllergaer Primary	10 G	3 – 11
Pontarddulais Comprehensive	10 G	11 – 16

Agenda Item 8.

Report of the Cabinet Member for Education

Cabinet – 15 September 2016

LOCAL AUTHORITY GOVERNOR APPOINTMENTS

Purpose of Report:	To approve the nominations submitted to fill L. A. Governor vacancies in School Governing Bodies.
Policy Framework:	Policy and Procedure for Appointment of L. A. Governors as amended by Council on 23 October 2008.
Reason for Decision:	To ensure vacancies are to be filled expeditiously.
Consultation:	Education, Legal, Finance.
Recommendation:	It is recommended that: - 1. The nominations be approved, as recommended by the LA Governor Appointment Panel.
Report Author:	Allison Gough
Finance Officer:	Pini Patel
Legal Officer:	Stephen Holland
Access to Services Officer:	Sherill Hopkins

1.0 The nominations referred for approval

1.1 At the meeting of the L.A. Governor Appointment Panel held on 25 August 2016, nominations were recommended for approval as follows:

1. Clase Primary School	Cllr. Gloria Tanner
2. Duvant Primary School	Cllr. Jeff Jones

2. Glais Primary School	Mr Jeff Bowen
4. Gors Primary School	Mrs Isabel Ann Norris
5. Knelston Primary School	Cllr. Richard Lewis
6. Portmead Primary School	Cllr June Burtonshaw
7. St Helen`s Primary School	Mr Alan Lloyd
8. St Thomas Community Primary School	Cllr. Joe Hale
9. Terrace Road Primary School	Cllr. Sybil Crouch
10. Townhill Primary School	Cllr. David Hopkins
11. Waunarlwydd Primary School (2 vacancies)	Cllr. Andrew Jones
	Mr Adrian Rees
12. YGG Y Login Fach	Cllr. Andrew Jones

13. Birchgrove Comprehensive School	Cllr. Ryland Doyle
14. Bishop Gore Comprehensive School	Miss Claire Waller
15. Cefn Hengoed Comprehensive School	Mr Phillip Jones
16. Gowerton School	Cllr. Susan Mary Jones
17. Olchfa School	Cllr. Jeff Jones
18. Pentrehafod Comprehensive School	Mrs Margaret Hughes
19. Pontarddulais Comprehensive School	Cllr. Jane Harries
	Mr John Miles

2.0 Financial Implications

2.1 There are no financial implications for the appointments; all costs will be met from existing budgets.

3.0 Legal Implications

3.1 There are no legal implications associated with this report.

4.0 Equality and Engagement implications

4.1 There are no equality and engagement implications associated with this report.

Background papers: None

Appendices: None

Agenda Item 9.

Joint Report of the Cabinet Members for Education and Enterprise, Development and Regeneration

Cabinet – 15 September 2016

CYNNYDD PROJECT – NOTIFICATION OF CONTRACT AWARD

Purpose:	This report provides an update with regard to the contract award of Suppliers for the Cynnydd Project.
Report Author:	Tracy Nichols
Finance Officer:	Pini Patel
Legal Officer:	Debbie Smith
Access to Services Officer:	Phil Couch

FOR INFORMATION

1.0 Background

- 1.1 On the 21st April 2016, Cabinet approved a report to accept the ESF grant offer for the participation and delivery of the Cynnydd Project.
- 1.2 The Cynnydd project is targeted at those young people (11 to 24 years) who are identified as being at the very greatest risk of becoming NEET.
- 1.3 Each young person referred onto the project will be assessed on their attendance, attainment and behaviour. Every participant will receive a tailored package of intervention appropriate to their individual needs; with the aim of preventing them from disengaging from Education and enabling the young people to access further education or employment when they leave school.
- 1.4 In City & County of Swansea, the main interventions (Product Lots) listed below, will be through procured activity:
 - Literacy and Numeracy (including Financial Literacy)
 - Volunteering Support and Activities
 - Complementary/Alternative Curricula in formal and informal settings
 - Complementary Curricula – in formal and informal settings which includes arts provision, sports provision and outdoor pursuits
 - Counselling and targeted interventions which includes emotional, social and behavioural difficulty support; cognitive

behaviour therapy; attachment therapy; and substance misuse support.

Careers Wales will provide the following interventions as part of this project:

- Employability support and work readiness activities
- Work experience support and co-ordination
- Work focussed experiences
- Employer engagement activities

- 1.5 There will be further funding to support transport costs and the opportunity for schools to facilitate training where it is available in one school and not another.
- 1.6 In order to access Cynnydd provision, a Learning Coach post allocation will be provided to all of the secondary schools and the PRU. Their primary role will be to assess the needs of each participant. The Learning Coach will access procured activity through the European and External Funding Team, who will manage the procurement and payment evidence trail process. The Learning Coach will also liaise with the Careers Wales advisor in each school and Learning Coaches in other schools to maximise the opportunities available.
- 1.7 Since Cabinet in April 2016, full project approval from WEFO was received on the 25th May 2016 following the May Election Purdah and prior to the EU Referendum Election Purdah period commencing. The project start date has been confirmed as the 1st March 2016, completing on the 28th February 2019 (the final 3 months of the project is for project evaluation only, therefore no project delivery will take place between December 2018 and February 2019 inclusively).
- 1.8 The City and County of Swansea Finance and Legal Departments recommended not to commence project delivery without the offer of grant. Therefore, there are no costs to reclaim from this date with regards to the delivery of interventions to young people.
- 1.9 The necessary City and County of Swansea internal approval processes have been undertaken to accept this grant offer, additionally the WEFO award letter has had the City and County of Swansea's approval as a joint beneficiary. Pembrokeshire County Council being the Lead Beneficiary.

2.0 Procured Delivery

- 2.1 Pembrokeshire County Council led a procurement exercise on behalf of the regional project for a framework arrangement. Appointment onto the regional framework agreement was based solely on quality criteria. The evaluation of the supplier's prices would only be considered at the time that each activity is required from the framework. All

submissions were evaluated and the respective suppliers advised of their award for procured activity.

- 2.2 Pembrokeshire County Council has advised that all partner Local Authorities have the contractual responsibility for all the suppliers for their region. During the Invitation to Tender (ITT) stage, Pembrokeshire County Council issued their own Terms and Conditions, and therefore the City and County of Swansea must incorporate Pembrokeshire's Terms and Conditions into the Contract between the City and County of Swansea and the respective suppliers to avoid challenge.
- 2.3 Prior to any contract exchange between the City and County of Swansea, and the respective suppliers, pre-engagement Safeguarding checks are underway. Once completed, contract exchange is being actioned. Placing orders to the most appropriate and cost effective supplier can then be undertaken. Reviewing pre-engagement safeguarding checks and subsequent renewal of contracts with each supplier will take place and renewed on an annual basis until the end of the project delivery (end of November 2018), with the option to extend for an additional period of up to 12 months upon agreement of both parties.
- 2.4 Guidance from Pembrokeshire County Council has indicated that should the required provision not be available from the framework agreement, each beneficiary can utilise their own framework, or procure accordingly, provided the beneficiaries Contract Procedure Rules (CPRs) are followed.
- 2.5 13 suppliers were successful at tender evaluation stage for the City and County of Swansea across the interventions named in paragraph 1.4, with the exception of counselling and targeted intervention including emotional, social and behavioural difficulty support, cognitive behaviour therapy, attachment therapy and substance misuse support; none of the tenderers for this Lot passed the evaluation process. A copy of the list of suppliers can be found in Appendix A detailing the product lots they tendered for.
- 2.6 Pembrokeshire County Council advised that all Local Authorities were required to undertake their own procurement exercises for the counselling and targeted interventions.
- 2.7 The City and County of Swansea currently has an existing contract for the Provision of a Children and Young People Counselling Service to provide statutory school based counselling for 10 – 19 year olds within Schools across Swansea. Following liaison with Senior Officers in Poverty & Prevention, and Education a significant issue was raised in relation to the potential of having two different providers working in the same schools and even with the same young people if a separate ITT was carried out at this stage. In consultation with

Procurement and ensuring grant compliance it has been agreed to utilise the current contract which provides the interventions required under Cynnydd for its remaining active duration which ends prior to the Cynnydd Project completion date. Discussions and arrangements will take place to cover the remaining project duration period in due course.

- 2.8 In addition, Volunteering Services and Activities Lot, the 2 suppliers for this Lot only tendered to provide services to 16 years plus, therefore resulting in a gap of provision should a need for a young person arise for 11 – 15 year olds. Discussions are underway with relevant organisations to assist in closing this gap and with procurement to ensure CPRs are followed and the European & External Funding Team will ensure grant compliance.
- 2.9 The framework arrangement has resulted in more than one supplier for each of the interventions named in paragraph 1.4, with the exception of counselling and targeted interventions. Pembrokeshire County Council has provided Framework Guidance. A Call-Off arrangement will be undertaken to ensure specific requirements are met, achieving best value and compliant engagement when a supplier's services are required.
- 2.10 The contract between the City and County of Swansea and the suppliers in Appendix A will be utilised on the basis of demand based on the prescribed call-off mechanisms based in the framework guidance. There is no guarantee on the level of demand for the services under the framework agreement and indeed the level of business any of the suppliers will receive. For some suppliers, by ensuring they satisfy and provide all pre-engagement Safeguarding requirements i.e., the necessary insurance levels and DBS Certificates; this may result in them incurring costs, when there is no guarantee of any or little requirement of their services.
- 2.11 Contracts are drafted and in the process of being exchanged where appropriate at this stage in readiness for the project to become operational following the start of the Academic Year of 2016/17.

3.0 Equality and Engagement Implications

- 3.1 The Cynnydd Business Plan details in specific ways how the equality issues are addressed as cross-cutting themes throughout implementation of the project.

These cross-cutting themes are:

- Equal opportunities and gender mainstreaming;
- Welsh language;
- Sustainable development; and
- Tackling poverty and social exclusion.

3.2 The City and County of Swansea will ensure it adheres to its duties in line with the Equality Act 2010 and Public Sector Duty for Wales. In addition, the City and County of Swansea will ensure that the UNCRC are embedded into policy and practice and due regard will be given to Children's Rights in the delivery of this project. As such, the Access to Services Team has confirmed that there is no requirement to undertake the City and County of Swansea's Equality Impact Assessment Process.

4.0 Legal Implications

4.1 The Council must ensure that it complies with the terms and conditions of the grant funding and that it operates the framework in accordance with the Framework Guidance.

5.0 Financial Implications

5.1 There are no financial implications.

FOR INFORMATION

Background papers: None

Appendices:

Appendix A - Framework Suppliers

Cabinet – 15 September 2016

CYNNYDD PROJECT – NOTIFICATION OF CONTRACT AWARD

Appendix A – Framework Suppliers

Interventions (Product Lots)	Providers / Suppliers
Literacy & Numeracy (including Financial Literacy)	<ul style="list-style-type: none"> • Rewrite Learning • Whitehead Ross • Tyfu Training • Tute Education Ltd.
Volunteering Support & Activities	<ul style="list-style-type: none"> • Elite Supported Employment Agency Ltd • Tyfu Training
Complementary/ Alternative Curricula- in Formal & Informal settings	<ul style="list-style-type: none"> • Gower College Swansea • Pro-active Adventure • CBSA (Centre for Business and Social Action) • Llandysul Paddlers • Loaded UK • Rewrite Learning • Urdd Gobaith Cymru • Tyfu Training
Complementary Curricula-Informal <u>Arts provision</u>	<ul style="list-style-type: none"> • Loaded UK • Rewrite Learning • Tyfu Training
Complementary Curricula-Informal <u>Sport provision</u>	<ul style="list-style-type: none"> • Whitehead Ross • Tyfu Training
Complementary Curricula-Informal <u>Outdoor Pursuits</u>	<ul style="list-style-type: none"> • Urdd Gobaith Cymru • Freedom Leisure • Ieuenctid Cambria Youth Ltd • Loaded UK • Whitehead Ross • Pro-Active Adventures • Tyfu Training

Agenda Item 10.

Joint Report of the Cabinet Members for Finance & Strategy and Transformation & Performance

Cabinet – 15 September 2016

QUARTER 1 2016/17 PERFORMANCE MONITORING REPORT

Purpose:	To report Corporate and Service Performance for Quarter 1 2015/16
Policy Framework:	<i>Delivering for Swansea Corporate Plan 2016/17 Sustainable Swansea – Fit for the Future</i>
Reason for Decision:	To receive and review the performance results for Quarter 1 2016/17
Consultation:	Legal, Finance, Access to Services.
Recommendation(s):	It is recommended that: 1) Performance is reviewed to help inform executive decisions on resource allocation and, where relevant, corrective actions to manage and improve performance and efficiency in delivering national and local priorities
Report Author:	Richard Rowlands
Finance Officer:	Carl Billingsley
Legal Officer:	Debbie Smith
Access to Services Officer:	Sherill Hopkins

1.0 Introduction

- 1.1 This report presents the performance results for the first quarter of 2016/17 delivering the Council's key priorities and objectives described in the Corporate Plan and wider whole-Council Strategy.
- 1.2 The outturn presented in the performance tables (Appendix A) incorporates an overview of performance including Policy Commitments and needs to be considered alongside the current financial situation of the Council.
- 1.3 The financial resources required to achieve the specified performance levels in 2016/17 have been provided in the approved budget. As part of the work on *Sustainable Swansea – Fit for the future* there will be an increased focus on understanding the level of activity and outcomes that

are achieved for the budget allocated so that choices can be made about relative priorities.

2.0 Background

2.1 Taken together, the key corporate plans are:

- *Delivering for Swansea – Corporate Plan 2016/17*
- *Sustainable Swansea – Fit for the Future*

2.2 The Council's priorities and objectives contained within these two plans are represented below in fig 1.



Fig 1 – The Whole-Council Strategy: the Corporate Plan and Sustainable Swansea.

- 2.3 During 2015/16, the Council reported its performance meeting its key priorities as set out within the Corporate Plan through the Quarterly Performance Monitoring Reports.
- 2.4 The Corporate Plan and its associated performance indicators were reviewed, revised and agreed in readiness for 2016/17.
- 2.5 At the same time, the Council piloted a ‘Corporate Scorecard’ as part of its continuing work to translate the Council’s vision and strategy into the plans of the Council using the balanced scorecard.
- 2.6 The Corporate Scorecard was reported to Corporate Management Team during the pilot in 2015/16.
- 2.7 An assessment of the Corporate Scorecard was undertaken following the pilot at the end of 2015/16 and reported to Corporate Management Team.
- 2.8 Corporate Management Team decided that, following some further refinements, the Corporate Scorecard would be merged with the Quarterly Performance Monitoring Reports into a single report for 2016/17.
- 2.9 The resulting Quarterly Performance Monitoring Report, incorporating the Corporate Scorecard and revised Corporate Plan performance indicators, is attached at Appendix A.

3.0 Context

- 3.1 When making comparisons between quarters in previous years and 2016/17, the following should be considered:
 - 3.1.1 The nature and number of some performance indicators (PIs) has changed between these two periods and therefore direct comparisons may not always be appropriate.
 - 3.1.2 Many of the performance measures are new and definitions may need further refinement.
 - 3.1.3 Many targets are either still being baselined or will need to be agreed; these are noted within the report.
 - 3.1.4 Some data will be enhanced and improved to be more robust as data collection methods are developed and / or refined by services over the coming quarters.
 - 3.1.5 The results do not always account for changes in resources and workload during that period (although details can be seen in the

numerator and denominator information and in the comments column of the data tables attached to this report).

3.1.6 There may be changes to the numerator and denominator information which may affect the trends by showing a decline while the volume of work has increased.

3.1.7 A proper comparison with national performance data will not be possible until the 2015/16 national performance results are published later in 2016.

4.0 Outturn

4.1 In 2014/15, the Council adopted a new Corporate Plan, which was revised in readiness for 2016/17.

4.2 The Corporate Plan 2016/17 *Delivering for Swansea* identified the Council's 5 key priorities. The 5 key priorities are:

- a) Safeguarding Vulnerable People
- b) Improving Pupil Attainment
- c) A Vibrant and Viable City and Economy
- d) Tackling Poverty
- e) Building Sustainable Communities

4.2.1 In summary:

- a) 25 out of 43 (58.1%) Corporate Plan performance indicators that had targets set met their targets.
- b) 15 out of 29 (51.7%) comparable Corporate Plan performance indicators also showed improvement compared to Quarter 1 last year.

4.2.2 The performance tables in Appendix A also set out an overview of performance, including the prioritised policy commitments, for each Corporate Plan priority provided by Directors and Heads of Service who are the responsible leads.

4.3 The Corporate Scorecard measures the Council's key objectives derived from *Sustainable Swansea – Fit for the Future*.

4.3.1 In summary:

- a) 9 out of 14 (64.3%) Corporate Scorecard indicators that had targets set met their targets.
- b) 4 out of 8 (50%) comparable Corporate Scorecard indicators also showed improvement compared to Quarter 1 last year.

5.0 Equality & Engagement Implications

- 5.1 This report has no direct equality and engagement implications itself although the data reported may form part of the information that leads to a service screening for and undertaking an EIA as required.

6.0 Financial Implications

- 6.1 In the current and anticipated financial environment further discussion and consideration will be required around priorities and target setting for performance improvement as part of *Sustainable Swansea – fit for the future*.

7.0 Legal Implications

- 7.1 There are no legal implications associated with this report.

Background Papers: None.

Appendices:

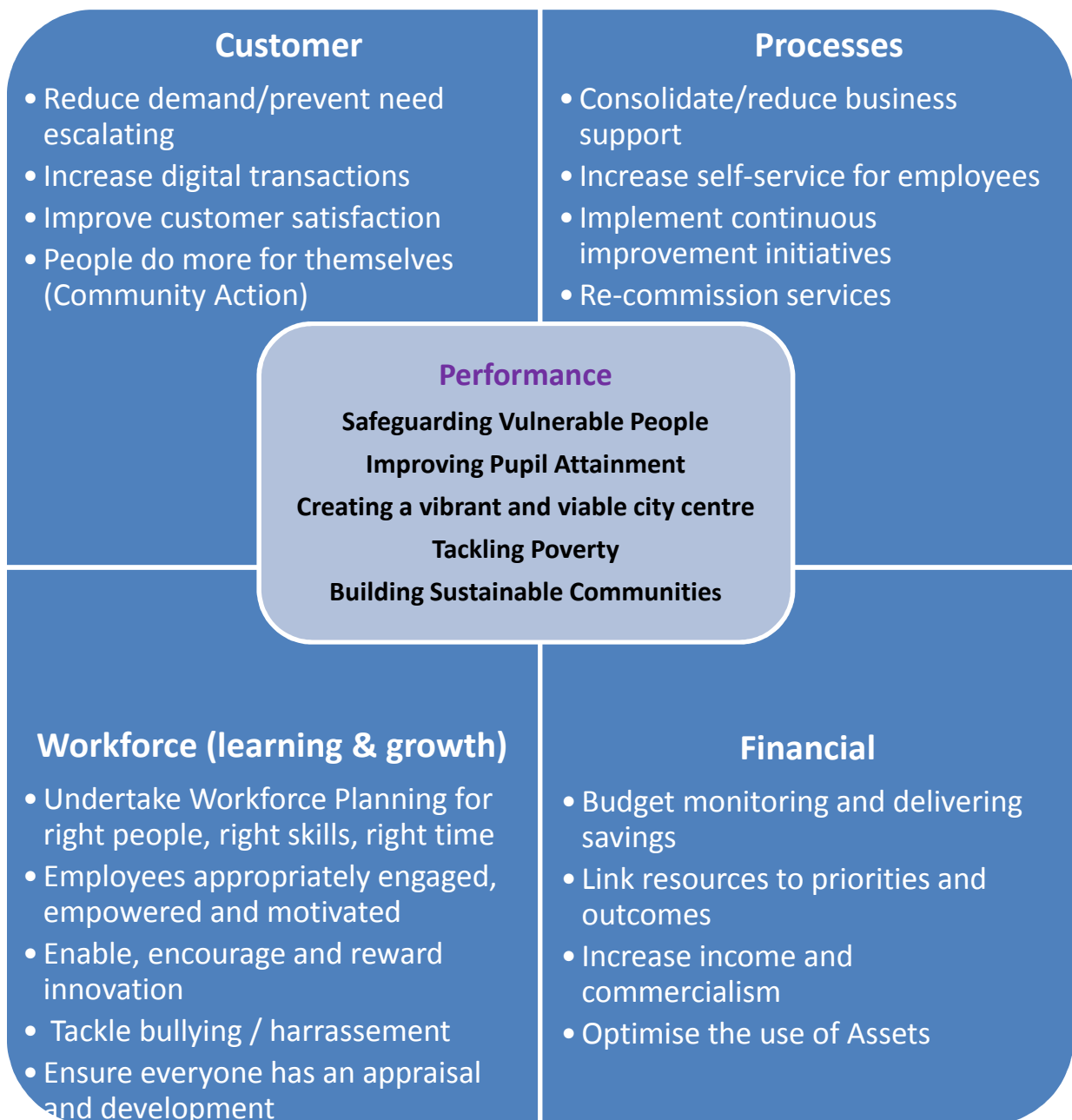
Appendix A – Strategic Performance Monitoring Report Quarter 1 2016/17.

OUR CORPORATE STRATEGY ON A PAGE

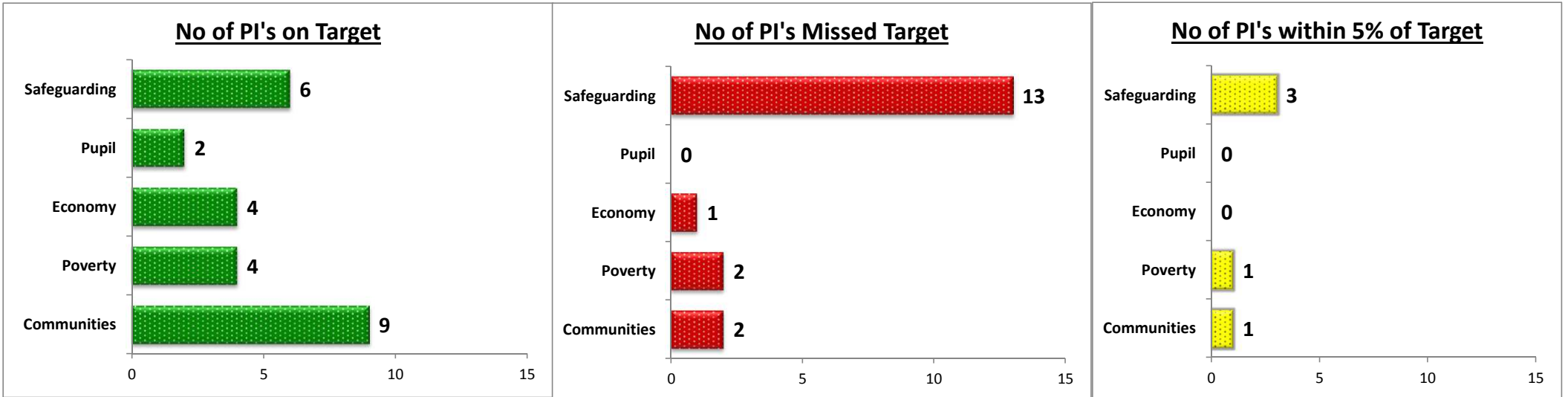
OUR VISION IS FOR

A safer, greener, smarter, fairer, healthier, richer Swansea

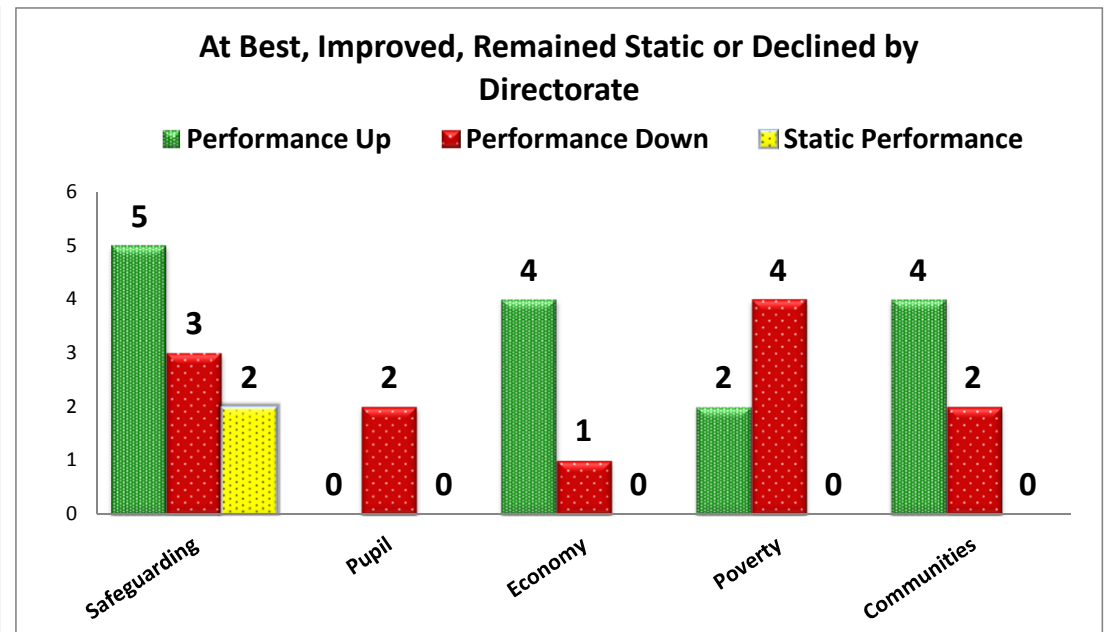
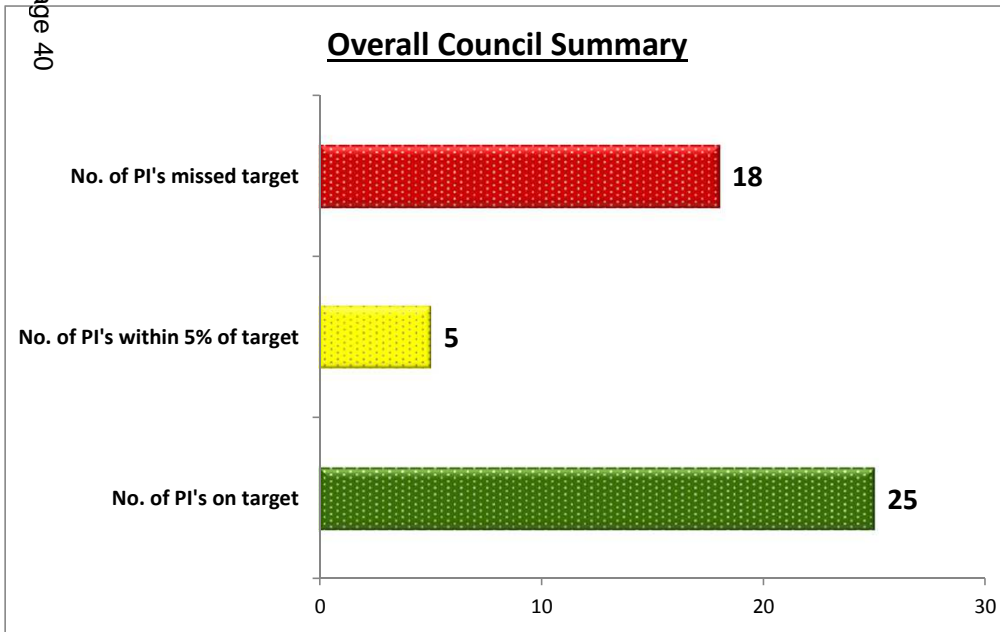
OUR PRIORITIES AND OBJECTIVES



Performance Report - Quarter 1 2016-17



Page 40



Performance Report - 2016-17

Quarter 1

Met Target
Green

Within 5% of
Target
Amber

Missed Target
Red



Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer		
						Q1 16-17	Q1 15-16					
Priority 1 : Safeguarding Vulnerable People												
Page 4 Effective arrangements are in place for safeguarding and protecting those at risk from significant harm and exploitation	Measure 18 ↑ The percentage of adult protection enquiries completed within 7 days	RED				New PI, no historical data	The number of adult protection enquiries completed in the year that were completed within 7 working days	213	N/A	This is one of a set of new, national indicators, so there is no existing benchmark for performance. There are challenges to changing performance systems to ensure the data is being collected consistently. At this stage, we chose to be aspirational in terms of our performance targets, though this was without the benefit of evidence. The method of data extraction is known to need some refinement, and data will be updated retrospectively to reflect new method once completed. As such, a genuine and meaningful target will emerge during the year. Efforts will be directed towards understanding the reporting mechanism and what it tells about performance. The intention is to include progress on this target within our overall approach to corporate safeguarding.	Alex Williams	John Grenfell
		68.93%	100%	-	Total number of adult protection enquiries completed in the year.		309	N/A				
	AS8 ↑ Percentage of adult protection referrals to Adult Services where decision is taken within 24 hours	RED				New PI, no historical data	The number of adult protection enquiries completed in the quarter that were completed within 24 hours	127	N/A			
		41.10%	50%	-	Total number of adult protection enquiries completed in the quarter		309	N/A				
	AS7 ↓ The percentage of safeguarding referrals relating to people in residential / nursing care homes where the individual had been the subject of a previous safeguarding referral	RED				New PI, no historical data	The number of individuals for whom adult protection referrals were completed during the period where their normal place of residence is a residential / nursing care home and who were the subject of previous safeguarding referrals	25	N/A			
		27.47%	25%	-	The total number of individuals for whom adult protection referrals were completed during the period where their normal place of residence is a residential / nursing care home		91	N/A				

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Effective arrangements are in place for safeguarding and protecting those at risk from significant harm and exploitation	CFS17 ↓ The number of safeguarding referrals received in the period.	RED 333	300	242	↘	The number of safeguarding referrals received in the period. 333	242	The implementation of the Social Services and Wellbeing (Wales) Act has meant that we are experiencing greater input at the front door. This was an expected consequence of the new Information, Advice and Assistance legislation.	Julie Thomas	Owen Davies
						1	1			
	CFS14 ↑ The percentage of decisions about a referral for care and support received by Child and Family Services which are taken within 24 hrs from receipt of referral	GREEN 100%	100%	100%	↔	The number of decisions about a referral for care and support received by Child and Family Services which are taken within 24 hrs from receipt of referral 508	384			
						The number of referrals for care and support received by Child and Family Services in the period. 508	384			
CFS16 ↑ The percentage of initial core group meetings held within 10 working days of the initial child protection conference	GREEN 93.75%	92%	97.80%	↘	The number of initial core group meetings held within 10 working days of the initial child protection conference 60	89	Although we always try to convene Core Group meetings within the prescribed timescales, we sometimes have to rearrange the dates due to factors outside of our control such as sickness or unavailability of a key professional. All four late Core Groups this quarter were held as soon as possible after the 10 day timescales.			
					The number of initial child protection conferences held in the period where the outcome was registration. 64	91				
CFS11 ↓ Number of children on the Child Protection Register at 31st March	GREEN 206	220	214	↗	Number of children on the Local Authority's Child Protection Register at the end of the period (excluding temporary registrations) 206	214				
					1	1				

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Effective arrangements are in place for safeguarding and protecting those at risk from significant harm and exploitation Page 44	CFS12 ↓ The rate per 1,000 (0-17 population) of children placed on the Child Protection Register.	GREEN 4.38	4.5	4.55	↗	Number of children on the Local Authority's Child Protection Register at the end of the period (excluding temporary registrations)			Julie Thomas	Owen Davies
						206	214			
	Population (0-17 years)		47,026	47,026						
	Measure 28 ↓ The average length of time for all children who were on the Child Protection Register during the year	GREEN 241 days	280 days	-	New PI, no historical data	The sum of the lengths of time (in days) each child had been on the CPR if they were removed from the CPR in the period.				
						15,873	N/A			
	The number of children who were removed from the CPR in the period		66	N/A						
Measure 27 ↓ Percentage of re-registrations of children on the Local Authority Child Protection Register, within 12 months of the previous registration	RED 20.39%	18%	16.36%	↘	Number of re-registrations of children on the CPR during the year within 12 months from the end of the previous registration.					
					42	35				
Total number of registrations on CPR during the year.		206	214	Re-registration is at the high-end of our normal range and will continue to be monitored. The high result is compounded slightly by a decrease in the number of children on the Child Protection Register.						

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Effective arrangements are in place for safeguarding and protecting those at risk from significant harm and exploitation Page 45	CFS15 ↓ Percentage of children becoming looked after who were previously looked after within 12 months of the new episode.	AMBER			New PI, no historical data	Number of of children becoming Looked After in the period, who were looked after within 12 months of the new episode		This is a new PI as part of the new statutory national indicators. The targets are provisional and being baselined during this first year of data collection. This year our efforts will be focussed upon how we calculate and understand the meaning of the data. It is only after this work is complete will we be able to supply targets that are truly evidence based.	Julie Thomas	Owen Davies
		15.38%	15%	-		6	N/A			
	CFS13a ↓ Looked after children who are reported missing a) No. of instances of children looked after reported missing in the period.	-			New PI, no historical data	Number of children becoming Looked After in the period.		Work is currently being undertaken to establish a realistic target and make sure that the accurate reporting information can be produced		
		-		-		39	N/A			
	CFS13b ↓ Looked after children who are reported missing b) No. of looked after children reported missing who are missing for longer than 24hrs in the period.	-			New PI, no historical data	No of instances of children looked after reported missing in the period.		Work is currently being undertaken to establish a realistic target and make sure that the accurate reporting information can be produced		
		-		-		N/A	N/A			
						No of looked after children reported missing who are missing for longer than 24hrs in the period.		Work is currently being undertaken to establish a realistic target and make sure that the accurate reporting information can be produced		
						N/A	N/A			
						N/A	N/A			

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer	
						Q1 16-17	Q1 15-16				
Improved awareness amongst Council employees and elected Members of the Corporate Safeguarding Policy and arrangements	SAFE5a ↑ Number of training elements completed by new or existing staff in safeguarding vulnerable people (in both Child & Family and Adult Safeguarding) • E-learning	RED				Number of training elements completed by new or existing staff in safeguarding vulnerable people (in both Child & Family and Adult Safeguarding) • E-learning	5,035	2,402	The rate of completions has been slower this quarter due to the identification and removal of duplications, whereby employees have completed the course more than once. Further general and specific communications will be released to ensure take up rates increase. An additional Training Needs Assessment of each Service Area will be completed by September in order to review the numbers of staff who can complete the Safeguarding training via e-learning, enabling targets for the rest of the year to be reviewed accordingly.	Steve Rees	Khan Prince
		5035	5600	2402	↗		1	1			
	SAFE5b ↑ Percentage of training elements completed by new or existing staff in safeguarding vulnerable people (in both Child & Family and Adult Safeguarding) • E-learning	RED				Number of training elements completed by new or existing staff in safeguarding vulnerable people (both Child & Family and Adult Safeguarding) via E-learning	5,035	2,402			
		89.9%	100%	42.9%	↗	Total number of training elements identified to be delivered for new or existing staff in safeguarding vulnerable people (both Child & Family and Adult Safeguarding) via E-learning	5,600	5,600			
SAFE6a ↑ Number of new or existing staff who have received training in safeguarding vulnerable people • Face 2 face	AMBER				Training started Q3 2015/16, no historical data	Number of new or existing staff who have received training in safeguarding vulnerable people • Face 2 face	1,652	N/A	Rate of face-to-face training completions has slowed in the first quarter caused in part by reduced capacity of teams to release staff for training and the fact that the easy-to-reach employees have been now trained. Further general and specific communications will be released to ensure take up rates increase. An additional Training Needs Assessment of each Service Area will be completed by September in order to review the numbers of staff who need to complete the face to face safeguarding training enabling targets for the rest of the year to be reviewed accordingly.	Steve Rees	Khan Prince
	1652	1733				1	N/A				
SAFE6b ↑ Percentage of new or existing staff who have received training in safeguarding vulnerable people • Face 2 face	AMBER				Training started Q3 2015/16, no historical data	Number of new or existing staff who have received training in safeguarding vulnerable people • Face 2 face	1,652	N/A		Steve Rees	Khan Prince
	63.5%	66.7%				Total number of people identified as new or existing staff who will be receiving training in safeguarding vulnerable people • Face 2 face	2,600	N/A			

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Improved awareness amongst Council employees and elected Members of the Corporate Safeguarding Policy and arrangements	SAFE7a ↑ Number of Designated Safeguarding Leads who have received training in safeguarding vulnerable people	RED 5	17	5	↔	Number of Designated Safeguarding Leads who have received training in safeguarding vulnerable people 5	5	Training for Designated safeguarding Leads has been postponed until appropriate level training has been identified.	Steve Rees	Khan Prince
	SAFE8a ↑ Number of Elected Members who have received training in safeguarding vulnerable people	RED 43	72	26	↗	Number of Elected Members who have received training in safeguarding vulnerable people 43	26			

Policy Commitments relating to Safeguarding

- 62. Ensure a new emphasis in Social Services on prevention and early intervention
- 63. Investigate the creation of a Social Impact Bond to help reform Children's Social Services
- 64. Relocate social services to work directly in the communities they serve and co-locate them with other services
- 65. Better integrate systems, ensuring fare more effective links between adult and children's services
- 68. Invest in our staff at all levels in Social Services

Lead Head of Service's Overview

From an overall safeguarding vulnerable people perspective, the position is positive. There is positive progress in relation to performance within Child and Family, within the context of changes in reporting required by the Act. Activity at the front door has increased as an expected consequence of the Act. We will be analysing the performance going forward to disaggregate the information, advice and assistance functions. This will provide robust information as to whether the requests for assistance, leading on to the need for a care and support plan are increasing, which would be a concern. Performance in child protection activity is generally on target, although the re-registration rate is at the higher end, but inflated by the reduction in numbers on the child protection register. The low numbers of children experiencing a repeat period of accommodation is very positive. A new post has been created within the service to support children who go missing from home. These are our most vulnerable children who are also more at risk of child sexual exploitation (CSE). The work will involve close working with Police and using the information from debriefing young people on their return to shape effective intervention and support. From an Adult Safeguarding perspective, all the indicators are new statutory indicators and these are being reported on for the first time this quarter. The collection of data is consequently work in progress and it will take a little time to get a true indication of performance and the direction of travel, as well as be able to benchmark performance against other Local Authority areas. The indicators are however useful to highlight areas of development going forward. Whilst there has been an increase on the uptake of corporate safeguarding training for both staff and Members, the target is still yet to be reached. This will be addressed by ensuring the Council has accurate records of who has been trained and who still needs to be trained, as well as ensuring that the appropriate training and additional opportunities are offered where possible.

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			

Priority 2 : Improving Pupil Attainment

Improved primary and secondary school attendance rates	EDU016a ↑	GREEN				The total number of sessions not missed (attended) by all pupils in primary schools		Attendance is within the target range; however is lower than the same period last year due to unusually high levels of pupil illness in the second half of the spring term.	Lindsay Harvey	Sarah Hughes
	Percentage of pupil attendance in primary schools	94.26%	93.5%	94.98%	↘	1,577,539	1,604,957			
						Number of sessions possible for all primary school pupils				
						1,673,527	1,689,703			
	EDU016b ↑	GREEN				The total number of sessions not missed (attended) by all pupils in secondary schools				
	Percentage of pupil attendance in secondary schools	93.43%	93.0%	93.57%	↘	1,180,751	1,205,995			
						Number of sessions possible for all secondary school pupils				
						1,263,808	1,288,935			

Policy Commitments relating to Attainment

14. Raise educational standards and the performance of all schools and pupils in Swansea
15. Implement a programme of sharing best practice between teachers and schools
16. Explore ways of improving pupil engagement and attendance
17. Introduce an ambitious, rigorous and supportive school performance framework
12. Adopt a new dynamic relationship with the schools, further education colleges, Swansea's two universities and employers
13. Develop a 10 year City of Learning strategic plan
18. Promote community focused school & family learning
19. Encourage greater collaboration between schools
20. Re-balance school funding to focus on need
21. Ensure 85% of funding is delegated directly to schools
23. Explore setting up a Skills campus and apprentice scheme

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Lead Head of Service's Overview										
<p>Performance Indicators: Performance was good (green) and within the target range for this quarter. There was a decrease in attendance by 0.72% in primary and 0.14% in secondary - this was due to a high level of pupil sickness in schools across the authority.</p> <p>Policy Commitments: 13. • The Education Strategy Group, chaired by the Leader of the Council, continues to explore new ways to work with schools and to deliver essential and statutory services within reducing funding.</p> <ul style="list-style-type: none"> • The Council's preventative services for NEETs is now located within the Poverty & Prevention service. • Swansea received a UNESCO Learning City Award at the Mexico conference - the only one in the UK and one of only 12 worldwide. <p>14. • Performance in Swansea schools has continued to improve. Two secondary schools have had overall judgements of Excellent and Excellent in their Estyn inspections since the start of the 2015-16 academic year.</p> <ul style="list-style-type: none"> • Schools where performance had been identified as a concern continue to be the targeted by Challenge Advisers. The two original Schools Challenge Cymru secondary schools, Morriston and Pentrehafod, maintained their activities to improve. Dylan Thomas Comprehensive has also benefitted from Schools Challenge Cymru support in 2015-16. <p>16. • Within the academic year attendance continued to improve in primary and secondary schools and performance targets were met. Secondary attendance improved so well that Swansea's national ranking for 2014-15 rose to 10th from 16th. Primary attendance also rose to be ranked 11th from 18th. Permanent exclusions remained low and fixed-term exclusions from secondary schools reduced.</p> <p>18. • Following the removal of grant funding, a reduced central service for Family Learning is now in operation. Some schools have funded this provision themselves.</p> <p>19. • Challenge advisers in Swansea completed the annual programme of visits to assess schools in the autumn term. Resulting from this work, in January 2016 Welsh Government published the colour categories of all primary and secondary schools, in accordance with the national system. Swansea schools' categories were strong this year, as last year. There were 37 green schools (in 2015 there were 21 and there is a target to achieve 40 in 2017) and fewer amber (15) and red schools (only 2). Actions plans are operating to improve the red schools.</p> <ul style="list-style-type: none"> • The national drive for schools to support each other continues to be developed, involving schools of all categorisations collaborating on improvement. A regional system for identifying and sharing good practice has been established. <p>21. • Delegation for 2015-16 was 83.6% and will rise in 2016-17 when more funding is delegated, including delegation of funding from Education Other Than At School (EOTAS) provision. The Joint Finance sub-group of the Schools Budget Forum continues to examine the implications and mitigation of the effect of future reductions to funding in the context of meeting need. The Forum is working with the Education Strategy Group on a model of education for the future.</p>										

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Priority 3 : Creating a vibrant and viable city and economy										
A Planning policy framework that supports the creation of a vibrant and viable City and economy Page 50	EP28 ↑ The percentage of all planning applications determined within 8 weeks	GREEN 89.60%	80%	79.21%	↗	The total number of all planning applications determined during the year within 8 weeks 431 381		There were 2 major applications refused during the quarter, one was overturned at planning committee and the other was refused as the principle of the development was against agreed policies with regards to unacceptable development in the countryside. NB: The 2016/17 figures no longer include minor applications, which were included in 2015/16 reporting, hence the lower numbers of applications displayed.	Phil Holmes	Andrew Pitson
						The total number of all planning applications determined during the year 481 481				
	EC2 ↑ The percentage of all major applications with an economic imperative that are approved	RED 77.78%	85%	83.83%	↘	Total number of major applications with an economic imperative that are approved 7 140				
						Total number of major applications determined in the quarter 9 167				
Better quality commercial floorspace enabling the provision of increased employment at sustainable locations	EC3 ↑ Amount of commercial floorspace (m ²) created within the city centre to accommodate job creation	GREEN 3,730m ²	3,730m ²	0m ²	↗	Amount of commercial floorspace created by sq m within the city centre 3,730m ² 0m ²		Rob David		
					D 1 1					
Improved city living opportunities by maximising the use of appropriate and previously developed land	EC4 ↑ Number of new housing units created in Swansea City Centre as a result of Vibrant & Viable Places funding	GREEN 33 units	33 units	3 units	↗	Additional number of new housing units completed within Swansea City Centre through Vibrant and Viable Places. 33 3				
					D 1 1					

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Employment & Training opportunities created	BBMA3 ↑ The number of training and employment weeks created by Beyond Bricks & Mortar for unemployed and economically inactive	GREEN 77	77	34	↗	Number of person weeks of training and employment undertaken			Phil Holmes	Sue Woodward
						77	34			
						1	1			

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			

Creating a vibrant and viable City and Economy

Outcome	Action	Comment
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Vibrant new retail and leisure development within the City Centre, commencing with the comprehensive redevelopment of St. David's	Secure planning consent for the redevelopment of St David's.	Work continues with development managers Rivington to progress the plans for the redevelopment of St David's. In addition to a revisiting of the original masterplan, discussions with potential occupiers are underway and work is in hand to submit a planning application during 2016/17.	Phil Holmes
A Kingsway Employment Hub to stimulate enterprise development	Prepare first phase sites and secure funding for new office development, building refurbishment and infrastructure enhancements (including digital) for the Kingsway Employment Hub.	A number of sites have been acquired along the Kingsway in order to enable delivery of the vision set out in the City Centre Framework. Discussions with funding bodies have taken place and are ongoing. Enabling infrastructure works are on site at Westway. Internal demolition works at Oceana is underway, but the programme for structural demolition is delayed due to asbestos issues within the building.	
Redevelopment of the Civic Centre site, contributing to the vibrancy of the City Centre	Select a developer and secure planning consent for the Civic Centre redevelopment.	Trebor has been selected as development managers for the Civic Centre site. Work is underway to prepare a funding strategy for the Hydro Hub component. Civic Centre relocation options and a delivery strategy are being prepared.	
Progressing Strategic Housing and mixed use development site proposals progressed in advance of the LDP to reduce housing land supply shortfall	Submit the Deposit LDP for examination by Planning Inspectorate.	The draft deposit plan has been reported to Council for permission to commence public consultation. The consultation will run over the summer period allowing any focussed changes to be reported by the end of the year.	
	Approve planning applications and supplementary planning guidance development for strategic housing and mixed development sites.	Planning applications for strategic housing and mixed use sites will be determined in accordance with WG guidance and Council policies as and when received by the planning authority.	
New investment attracted from companies not currently located in Swansea	Devise an inward investment proposition with regional marketing suite and marketing materials in place to attract new investment opportunities.	The regional marketing suite has been established and is operational, with a virtual regional inward investment team in place to generate and facilitate enquiries.	
Extension of the tourist season and the tourism offer as part of the diversification of the rural economy	Adopt supplementary planning guidance related to holiday accommodation opportunities	This is one of a number of Supplementary Planning Guidance documents identified that will need to be prepared in support of the LDP and will be progressed following adoption of the Plan.	

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			

Policy Commitments relating to creating a vibrant and viable economy

- 34. Seek to increase the number of people living in the city centre
- 24. Work with partners and the business community to promote Swansea Bay City region
- 25. Utilise £11m in the House Revenue Account to improve Council houses and boost local economy.
- 28. Create a clear, coherent and balanced approach to the city centre
- 36. Work closely with partners to develop a Creative City Region; create a Science and Innovation campus build Swansea as a science, technology and creative capital.
- 31. Plan for a sustainable transport system
- 32. Improve perceptions of our city as a place to work, visit and live
- 33. Enhance our public spaces and city's built heritage and empty properties

Lead Head of Service's Overview

All Indicators are on target, with the exception of “the percentage of all major applications with an economic imperative that are approved”. This can be explained since 2 major applications were refused during the quarter. One was overturned at planning committee and the other was refused as the principle of the development was against agreed policies with regards to unacceptable development in the countryside. The 2016/17 figures no longer include minor applications, which were included in 2015/16 reporting; hence the lower numbers of applications displayed. This may now distort the results going forward and the target will need to be reviewed for the 2nd Quarter performance.

The City Centre Framework sets the strategic direction and the implementation of the Realising the Potential programme and has a direct positive bearing on policy commitments 34, 24, 25, 28, 32 and 33. Significant new housing development is in the pipeline via Viable & Vibrant Place (VVP) funded schemes with partners. Development Managers for the Civic Centre and St David's sites have been procured and technical reviews and occupier discussions are well underway. A planning application will be submitted for the St David's site later this year. The demolition of the former Oceana building is on site and other strategic acquisitions nearby has been completed utilising VVP funds.

The Swansea Bay City Region working with partners as described in PC 24 has taken a step forward in relation to inward investment. Regional officers recently attended a UK inward investment conference to promote the region. A stage 1 City Deal proposition has been submitted to Government and further detailed work is underway by specialist consultants on behalf of the city region.

PC36 is being delivered through collaborations with the universities, where MoU's (Memorandum of Understanding) identify joint working, and via close collaboration with the City Region Board and demonstrated via the City Deal submission to Government. The university are key partners in the delivery of Hafod Copper Works and the Hydro-Hub project.

PC 31 - works are on site at Westway to facilitate future highway investments subject to funding. The draft deposit LDP was reported to Council in June and is now the subject of public consultation.

Looking specifically at PC 25, around £250 million will be spent over the next 5 years to improve Council houses which includes the £11 million identified within the policy commitment. This year's capital programme of £55m is largely focussed on the improvement of the housing stock up to the WHQS (Welsh Housing Quality Standard).

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			

Priority 4 : Tackling Poverty

<p>People have a decent standard of living; receiving the maximum benefits they are entitled to receive and in a prompt and timely way</p>	<p>POV05 ↑</p> <p>The amount of additional benefits maximised for people who are entitled to claim each year by the involvement of the Welfare Rights Team</p>	<p>GREEN</p> <p>£243,814</p>	£200,000	£204,893	↗	<p>Amount of benefit income secured or increased</p> <p>£243,814 £204,893</p> <p>D</p> <p>1 1</p>		Jane Whitmore	Jane Storer
	<p>HBCT01a ↓</p> <p>Housing Benefit Speed of Processing: a) Average time for processing new claims.</p>	<p>AMBER</p> <p>19.1 days</p>	19 days	16.7	↘	<p>Sum in calendar days of time taken to process all new claims.</p> <p>28,538 27,323</p> <p>Number of new claims received</p> <p>1,494 1,636</p>	<p>Reduced staffing due to budget savings, (reduction in 5 posts), plus significantly increased workload due to DWP changes e.g ATLAS, RTI, Pension Credit. Also new self service arrangements and lower staff resources in the Contact Centre has created failed demand and additional work for back office having to write-out for supporting information which in turn creates delays in decision making and payment to customers.</p>	Rose McCreesh	Karen Williams
	<p>HBCT01b ↓</p> <p>Housing Benefit Speed of Processing: b) Average time for processing notifications of change in circumstances</p>	<p>GREEN</p> <p>5.6 days</p>	7 days	5.2	↘	<p>Sum in calendar days of time taken to process change in circumstances.</p> <p>77,136 69,434</p> <p>Number of change in circumstances decided.</p> <p>13,807 13,315</p>			
	<p>HBCT02a ↓</p> <p>Council Tax Reduction Speed of Processing: a) Average time for processing new claims</p>	<p>RED</p> <p>20.3 days</p>	19 days	15.9	↘	<p>Sum in calendar days of time taken to process all new claims.</p> <p>32,938 25,678</p> <p>Number of new claims received</p> <p>1,622 1,618</p>			
	<p>HBCT02b ↓</p> <p>Council Tax Reduction Speed of Processing: b) Average time for processing notifications of change in circumstances</p>	<p>GREEN</p> <p>5.1 days</p>	7 days	4.4	↘	<p>Sum in calendar days of time taken to process change in circumstances.</p> <p>81,749 61,681</p> <p>Number of change in circumstances decided.</p> <p>16,070 14,083</p>			

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Prevent homelessness to help maintain stability and security for families, safeguard health, wellbeing and prevent social exclusion	POV06 ↓ The average number of days all homeless families with children spent in Bed & Breakfast accommodation	GREEN 2.7 days	7 days	3.7 days	↗	Number of days for each period spent in B&B accommodation by each family with children whose duty has been accepted during the year.			Steve Porter	Marie Muldoon
						8	11			
						Total number of homeless families with children whose duty has been accepted who have spent time in B&B accommodation				
						3	3			

Actions for Tackling Poverty

Outcome	Action	Comment
Investment to improve council housing, bring wider economic benefits and contribute to the regeneration of estates	Deliver capital programme schemes/£55m spend	The Housing capital programme of £55m for financial year 2016/17 was agreed at Council in February. The first quarter of the financial year primarily focuses on scheme preparations including design, procurement and engagement with tenants. The remainder of the financial year will focus on delivery and spend. There are however, examples across key areas of spend which have begun the delivery phase including kitchen and bathroom renewal, wind and weatherproofing, boiler renewal and re-roofing. The Housing Service jointly monitors levels of spend and delivery with Corporate Building and Property Services throughout the year with the aim of maximising spend and scheme delivery.

Lynda Grove

Policy Commitments relating to tackling poverty

- 38. Tackle poverty and unemployment, especially amongst young people and within the most deprived communities
- 22. Implement a pledge to ensure that all 18-24 yr olds have access to quality information, education and enterprise
- 24. Work with CAB and other partners to enhance the Council's existing Welfare Rights service
- 37. Explore with Credit Unions how to make loans to micro businesses
- 39. Work with Moneyline Cymru and other partners to help people on low incomes access affordable loans
- 66. Play a full part in the Healthy Cities initiative to address health inequalities and life expectancy

Lead Head of Service's Overview

The Tackling Poverty Priority has been reviewed for 2016/17 and robust and more specific measures identified to measure progress.

The Council continues to work with partners such as CAB and LASA Credit Unit to mitigate the impact of Welfare Reform offering advice, assistance and access to affordable loans.

Within the Council the Welfare Rights Team continues to support people to maximise the benefits they are entitled to.

The Housing Benefits Team continue to ensure people receive their benefits in a prompt and timely manner.

The Communities First, LIFT and Communities for Work Programme are now fully operational to support people with their employability and into employment.

The Young Peoples Service continues to work with 11 – 25 year olds to directly support those most vulnerable.

The Early Years Strategy and Action Plan are owned by the Healthy Cities Board preparing children for the best start in life and readiness for school.

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer	
						Q1 16-17	Q1 15-16				
Priority 5 : Building sustainable communities											
More people are involved in local community activities that are important to them	CUST8a ↑ Number of successful bids to the Community Action Transformation Fund	no rag 5	-	-		Actual Number of applications made to the Community Action Transformation Fund (CATF) approved by the External Funding Panel (EFP)	5	N/A	The External Funding Panel announced success for 5 applications in April, four of which were from Bowls Associations for the upgrading, maintenance and operation of independent greens. An application for funds for service, maintenance and winding of the St Thomas church clock was also accepted	Jane Whitmore	Spencer Martin
							1	N/A			
Page 56	SUSC10 ↑ Number of services sustained in the community and were previously formally managed by the Council	GREEN 41	30	-	New PI, no historical data	Number of services which were previously managed by the Council and have been transferred to independent community-based management and ownership	41	N/A	39 community building licences were signed within first quarter, although the expectation was that this would have taken longer throughout the year. The voluntary management committees have undertaken further responsibility including financial and premises management.	Tracey McNulty	Sue Reed
							1	N/A			
People have equitable access to services to promote independence and quality of life	SUSC5 ↑ Number of new requests for local area co-ordination	GREEN 38	35	-	New PI, no historical data	The number of introductions for individuals recorded in the Local Area Co-ordination database.	38	N/A	The number of people supported is increasing. We have now appointed coordinators in 3 new areas so will expect to see an increase in numbers of people supported by the end of the second quarter.	Alex Williams	John Grenfell
							1	N/A			
People are living in cohesive and resilient communities with the right skills and technological improvements to sustain their communities	SUSC2 ↑ Percentage of people across Swansea who agree or strongly agree they can influence decisions affecting their neighbourhood	GREEN 38.64%	25%	-	New PI, no historical data	Number of people responding 'agree' and 'strongly agree' to: To what extent do you agree that you can influence decisions affecting your neighbourhood?	68	N/A		Chris Sivers	Rhian Millar
						Total number of respondents to the question	176	N/A			

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Pages 4 More people living at home or in the community instead of in residential care	SCA001 ↓ The rate of delayed transfers of care for social care reasons per 1000 population aged 75 or over	GREEN 1.20	6	0.99	↘	Total number of local authority residents experiencing a delayed transfer of care during the year for social care reasons 26 21 Total population aged 75+ 21,619 21,254	Performance is continuing to improve after a difficult winter. We have changed the way in which reablement packages of care can be organised which is speeding up patient flow. The number of those delayed for social care reasons is decreasing overall, but there are still challenges surrounding securing long term care packages in the external market which is the key reason for delays due to social care reasons.	Alex Williams	John Grenfell	
	AS4 ↑ Percentage of clients returning home following a period of residential reablement	GREEN 75.68%	58%	48.84%	↗	The number of people who have left the residential reablement services whose destination on leaving was own home or to family 28 21 The total number of people who have left the residential reablement service 37 43	Continued effective use of the resource to support only those whose conditions are capable of reablement. This is an important preventative measure to support people to stop needs escalating.			
	Measure 20a ↑ The percentage of adults who completed a period of reablement and have a reduced package of care and support 6 months later	RED 38.17%	50%	-	New PI, no historical data	The number of adults who completed a period of reablement who have a package of care six months later which is smaller than it was on completion of the reablement period. 50 N/A The number of adults who completed a period of reablement who had a package of care and support within the week before the reablement began. 131 N/A	This are new PIs as part of the new national statutory indicator set. The target is aspirational and is being baselined during this first year of data collection. This year our efforts will be focussed upon how we calculate and understand the meaning of the data. It is only after this work is complete that we will be able to supply a target that is based on analysis and evidence of previous and benchmarked performance.			
	Measure 20b ↑ The percentage of adults who completed a period of reablement who have no package of care and support 6 months later	GREEN 45.80%	25%	-	New PI, no historical data	The number of adults who completed a period of reablement who have no package of care six months later 60 N/A The number of adults who completed a period of reablement who had a package of care and support within the week before the reablement began. 131 N/A				

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
People make the best use of resources that promote wellbeing and prevent them through early intervention from requiring statutory services	CFS1 ↓ Number of children becoming looked after in the period	GREEN 39	45	32	↘	Number of children becoming looked after in period 39	32	Whilst every effort is made to reduce the number of children coming into care, it is an inevitability that children will still need looking after. This is dictated by the number of families in crisis we deal with at any point in time. What we do following an admission is most important. We have robust procedures to manage this.	Julie Thomas	Owen Davies
Page 5 People are living in cohesive and resilient communities with the right skills and technological improvements to sustain their communities	SUSC1 ↑ Percentage of people across Swansea who are satisfied or very satisfied with their local area as a place to live	AMBER 86.11%	86.56%	85.00%	↗	Number of people responding 'satisfied' and 'very satisfied' to: Overall, how satisfied are you with your local area as a place to live? 155	170	We aim to work with partners to help build sustainable communities. We are working with the voluntary, community, public and private sector in Swansea and the wider region to promote community action, build capacity and develop projects for communities to run services, manage assets and build cohesion. This work will continue and develop over the coming months and years as we seek with partners to build upon this work and further develop community capacity, resilience and cohesion.	Chris Sivers	Rhian Millar
	SUSC3 ↑ Percentage of Swansea residents who agree or strongly agree that their local area is a place where people from different backgrounds get on well together	GREEN 83.24%	76%	70.71%	↗	Number of people responding 'agree' and 'strongly agree' to: To what extent do you agree that your local area is a place where people from different backgrounds get on well together? 149	140			
						Total number of respondents to the question 179	198		Chris Sivers	Rhian Millar

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
More people are involved in local community activities that are important to them	WMT009(b) ↑ The percentage of municipal waste collected by local authorities and prepared for reuse and/or recycled, including source segregated biowastes that are composted or treated biologically in another way	GREEN	58%	52.47%	↗	Total tonnage of local authority Municipal waste prepared for reuse and/or recycled, including source segregated biowastes that are composted or treated biologically in another way			Chris Howell	Keith Coxon
						17,067	13,379			
						The tonnage of municipal waste collected by the local authority				
		59.25%				28,804	25,496			

Policy Commitments relating to building sustainable communities

2. Seek wider and imaginative community use of public assets such as Council owned buildings
72. Support independent living, improved options for older people. Increase funding for housing co-operative
3. Explore collaborative and innovative ways in which local services can be financed and delivered
64. Relocate social services to work directly in the communities they serve and co-locate them with other services

Lead Head of Service's Overview

Overall performance is improving with 9 of the indicators green, one amber and one red. Key highlights include:

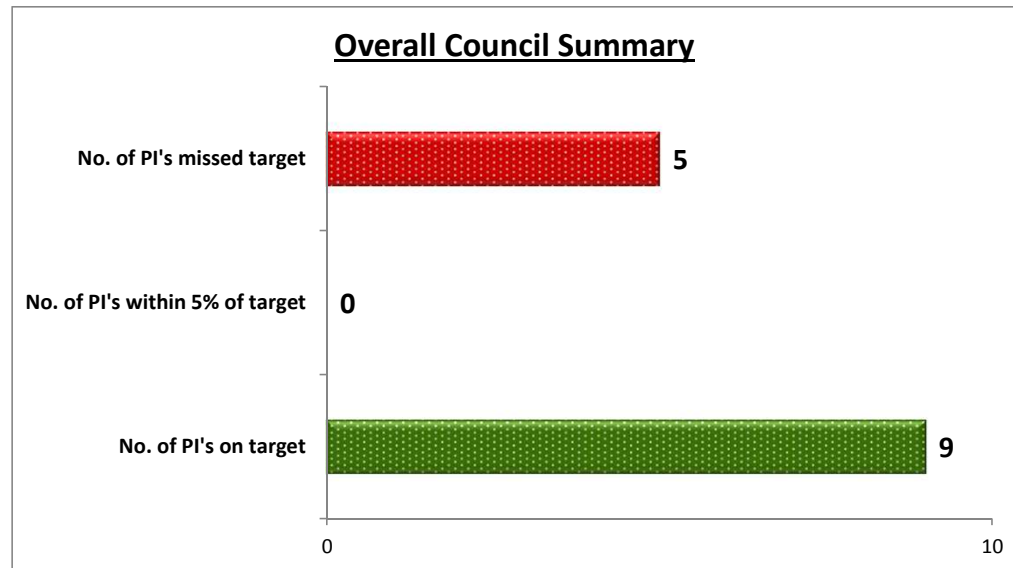
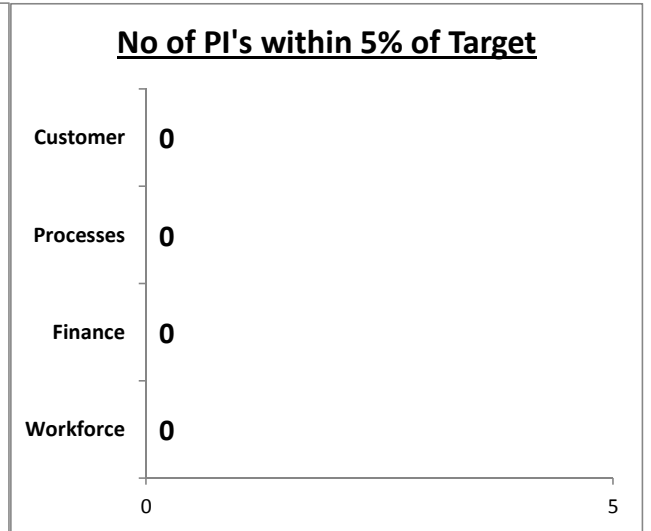
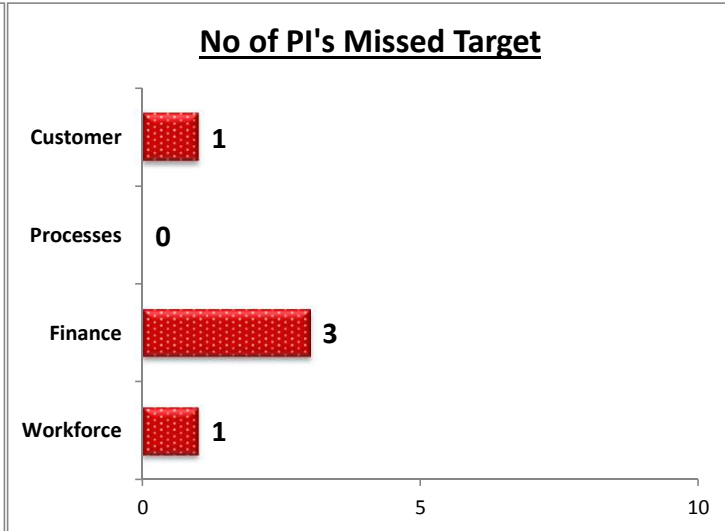
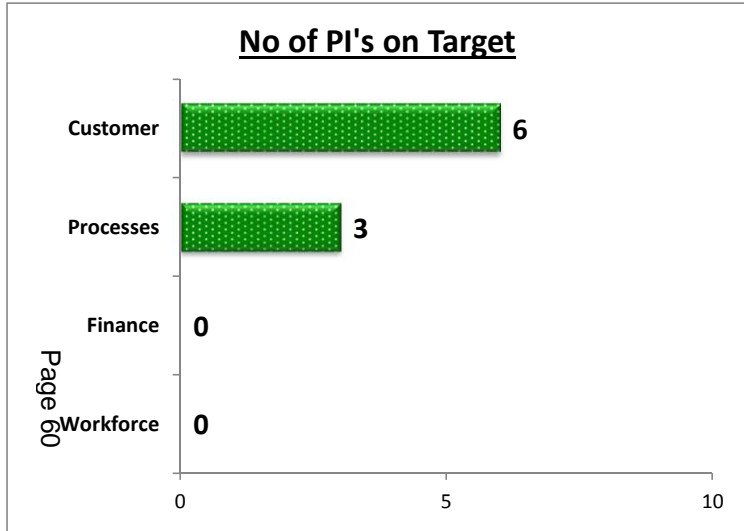
- A significant improvement in delayed transfers of care, although further work needs to be done on building capacity in the external domiciliary care market to minimise delays linked to securing home care packages.
- The reablement service is working more effectively. Work does however continue to improve the service to ensure that as many people as possible leave the service with a reduced package of care or - where safe - needing no further support.
- In line with Council policy there has been success in devolving community activities out of management by the local authority.

Progress will continue to be monitored against all indicators and improvements made where needed. These indicators, taken together, give an important analysis of the work the Council is undertaking to support preventative approaches and to support people to support themselves.

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			

Corporate Scorecard

**Performance Report - 2016-17
Quarter 1**



Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			

Corporate Support - Customer

Reduce demand Increase Digital Transactions Page 61	CUST1b ↓ Number of Face to Face contacts in Contact Centre	GREEN 13,815	17,332	17,332	↗	Number of contacts in Civic Centre requiring Face to face handling 13,815 17,332 D 1 1		Lee Wenham	Julie Nicholas-Humphreys
	CUST4c ↑ Number of visitors using the Contact Centre E-zone	no rag 1,025		-	New PI, no historical data	Number of visitors who used the E-zone facilities 1,025 N/A D 1 N/A			
	CUST2a ↑ Number of online payments received via City & County of Swansea websites	GREEN 43,805	43,000	-	New PI, no historical data	Number of service-based payments received on the Civica payment system via City & County of Swansea websites 43,805 N/A D 1 N/A			Liz Shellard
	CUST2b ↑ Number of forms completed online for fully automated processes	GREEN 1,246	1,100	-	New PI, no historical data	Number of forms completed online for processes which are fully automated. 1,246 N/A D 1 N/A	Comparison data will be available from Q2.		

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Page 6 Improve Customer Satisfaction	CUST5 ↑ Percentage of recent customers who were satisfied or very satisfied with the level of customer service they received from Swansea Council	GREEN 86.36%	70%	82.43%	↗	Number of people responding 'satisfied' and 'very satisfied' to: If you engaged with a member of Swansea Council staff within the last 6 months - Overall, how satisfied or dissatisfied are you with the level of customer service you received from Swansea Council on that occasion? 76 333	333	The 2015/16 results were based on an aggregate of 5 questions relating to customer service and 13 questions relating to services and are reflected in the higher base figures. For 2016/17 results are for residents answering a single question only for each indicator.	Lee Wenham	Rhian Millar
	Total number of respondents to the question		88	404						
	CUST6 ↑ Percentage of Swansea residents satisfied or very satisfied with Council services overall.	GREEN 76.24%	60%	75.32%	↗	Number of people responding 'satisfied' and 'very satisfied' to: How satisfied are you with Council services overall? 138 1,526	1,526			
	Total number of respondents to the question		181	2,026						
CUST10a ↓ Number of corporate complaints	RED 260	240	232	↘	Number of corporate complaints received by the Corporate Complaints section 260 232	232	This is the first year this PI is being reported. The target has been set using last year's results as a benchmark. The quarter 1 result is showing more complaints compared to the same period last year. We will monitor the data in the forthcoming quarters for any deterioration or unusual trends.		Andrew Taylor	
D		1	1							
CUST10b ↓ Percentage of justified corporate complaints which require further action after the closure of the complaint to tackle the issue	no rag 7.84%	-	-	New PI, no historical data	Number of responses where the service confirms that further action is required, after a complaint response has been sent, to address and/or tackle issue(s) arising from a complaint received. 4 N/A	N/A				
Number of corporate complaints received by the Corporate Complaints section in period which are adjudged to be justified		51	N/A							

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Improve Customer satisfaction	CUST11 ↓ Percentage of complaints considered by the Public Services Ombudsman for Wales ('the Ombudsman') where the Ombudsman has determined there was maladministration on the part of the Authority	GREEN 0%	0%	-	New PI, no historical data	Number of letters received from the Ombudsman confirming there has been a finding of maladministration against the Authority			Lee Wenham	Andrew Taylor
						0	N/A			
						Number of letters received from the Ombudsman where a determination has been made in relation to a complaint received				
						10	N/A			

Corporate Support - Finance

Page 63 Budget Monitoring and delivering of savings	FINA6 ↑ Percentage of identified forecast general fund revenue savings and income for the year compared to originally approved budget	RED 69.27%	85%	76.25%	↘	Identified forecast general fund revenue savings and income for the year		Performance on service savings and other savings acceptable. Good progress on senior staff savings bar concerns around timing of implementation of most senior staff savings. Very little progress on all cross cutting savings and this was flagged as urgent in report to Cabinet. Urgent spending restriction action advised and emergency measures proposed for CMT to consider. Overall performance worse than last year due to lack of progress on Terms and Conditions £1m and Stop Services £2m savings.	Mike Hawes	Ben Smith
						£15.594m	£20.415m			
						Agreed original savings set out in the budget approved by Council.				
						£22.513m	£26.774m			
	FINA10a ↓ For the General Fund Revenue Budget: a) the forecast variance (£) from agreed departmental revenue budget	RED £7,630,000	£0	-	New PI, no historical data	i) Forecast outturn for departmental revenue budget MINUS ii) Agreed departmental revenue budget (=original budget plus approved virements)		Draft first quarter monitoring report identifies £9.6m forecast overspend due to tracker delivery failure (ca.£8m), other new overspending (£1.8m). £2m is cross cutting and reported in 10b so excluded making £7.6m net. Overspending across all areas bar Place and Poverty forecast. Urgent spending restriction action advised. Will be reported to Cabinet as such.		
					£7.630m	N/A				
						D				
						1	N/A			

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Budget Monitoring and delivering of savings	FINA10b ↓ For the General Fund Revenue Budget: b) the forecast variance (£) from agreed budget against additional savings target, central budget items and contingency fund	RED £571,000	£0	-	New PI, no historical data	For the GFRB for the additional savings target, central budget items and contingency fund: i) Forecast outturn for budget MINUS ii) Agreed budget (=original budget plus approved virements) £571,000	N/A	Cross cutting not delivered (+£2.0m). Offsetting action savings in central inflation items (-£1.4m). Planned contingency fund of £5.4m almost fully utilised (-£29k). Net General Fund Revenue Budget reported net overall overspend of £8m, comprising: (£9.6m) in FINA10a, (-£0.57m) in FINA10b, (-£1m) planned reduction in contributions to specific reserves.	Mike Hawes	Ben Smith
Corporate Support - Processes										
Page 64 Increase self-service for employees	PROC1 ↑ Number of employee self-service transactions	GREEN 16,247	15,000	208	↗	Number of employee self-service transactions 16,247	208	In order to represent the breadth of self-service within the Council we have expanded this measure to include ISIS transactions for Parking Permits, Driving License Changes, Return to work interviews, Additional Annual Leave & i-Siop Purchases. Newer internal ICT, such as Assyst Password Resets & Incidents Logged, are included as systems go live.	Sarah Caulkin	Matthew Knott
Consolidate/ Reduce Business Support	PROC3a ↓ The number of Business Support posts in the establishment	GREEN 1,390	1,390	1,385	↘	The number of Business Support posts in the establishment 1,390	1,385	Posts are 5 up on the same quarter last year, but 26 less than the previous quarter this year. However, numbers should decrease later in 16/17 when the implementation of the new Business Support Model gains pace.	Steve Rees	Sian Williams

Related Outcome	PI & desired direction of Travel	Result Q1 16-17	Target Q1 16-17	Perform Q1 15-16	Trend since Q1 15-16	N – Numerator D – Denominator		Comments (Explanation and Actions)	Responsible Head of Service	Responsible Performance Officer
						Q1 16-17	Q1 15-16			
Re-commission services	PROC4a ↑ Number of Commissioning Service Reviews completed within the set process timescales	GREEN 2	2	-	New PI, no historical data	Number of Commissioning Service Reviews completed within timescales to inform a Cabinet decision for implementation		Adult Services from Yr 1 (15/16) options are being considered and agreement to consult on the next steps is pending. Waste Management – these are currently being planned, mainly around the redesign of the HWRC – recycling sites	Sarah Caulkin	Vicky Thomas
						2	N/A			
						1	N/A			
Corporate Support - Workforce										
Page 65 Staff are in work and healthy	CHR002 ↓ The no. of working days / shifts per full time equivalent (FTE) local authority employee lost due to sickness absence	RED 2.5	2	2.38	↘	Total number of working days/shifts lost to sickness absence, between 1 April and 31 March annually as FTE.		Note from Corporate Performance Team - there may be some discrepancies in the data, which should be used with caution until accuracy can be established as part of a data quality review. Sickness is slightly higher than target for the first quarter. However, it should be noted that as part of the ongoing proactive health & wellbeing work, a number of new initiatives were launched during 15/16. This proactive work will continue to increase during 16/17 as part of our long term wellbeing investment, with new workshops, further health fairs, more appointments, further training for managers and the appointment of an additional Stress management counsellor and advisor so that we can work more closely with managers and services to help prevent stress and sickness absence. In addition, the Management of Absence Policy has been reviewed with the Trade Unions.	Steve Rees	Sian Williams
						23,154	22,238			
						9,432	9,362			